

703490/30

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2000

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

1550505

Company Name in full

Nomura International plc

Date of this return

The information in this return is made up
to

Day		Month		Year			
1	2	0	9	2	0	0	9

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.

Companies House will then send a form at
the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

Nomura House, 1 St. Martin's-le-Grand

Any change of
registered office must
be notified on form
287.

Post town

London

County / Region

UK Postcode

EC1A 4NP

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

6512

If the code number cannot be determined,
give a brief description of principal activity.

MONDAY



A18

AS7SMDUU
05/10/2009
COMPANIES HOUSE

176

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX ED235 Edinburgh 1
or LP-4 Edinburgh 2

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

* Voluntary details.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Details of a new company secretary must be notified on form 288a.

Forename(s)

Denise Carolyn

Surname

Dillon

Address ††

Flat 1, 91c Grosvenor Road

Post town

London

County / Region

UK Postcode

SW1V 3LD

Country

United Kingdom

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

* Voluntary details.

Name

* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day		Month		Year	
0	9	0	2	1	9
5	1				

Forename(s) | David Laurence

Surname | Benson

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address ††

Kenbrook, Fitzroy Park, Highgate

Post town | London

County / Region

UK Postcode | N6 6HT

Country | United Kingdom

Nationality | British

Business occupation | Banker

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

* Voluntary details.

Name

* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Date of birth

Day		Month		Year	
1	8	0	4	1	9
6	2				

Forename(s) | David Jethro

Surname | Farrant

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address ††

27 Hillhouse Drive, Billericay

Post town | Essex

County / Region

UK Postcode | CM12 0AZ

Country | United Kingdom

Nationality | British

Business occupation | Banker

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Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day		Month		Year	
1	3	1	0	1	9
				5	3

Forename(s) | Masanori

Surname | Itatani

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† | 1-37-23 Fukasawa, Setagaya-Ku

Post town | Tokyo 158-0081

County / Region | UK Postcode |

Country | Japan

Nationality | Japanese

Business occupation | Director

Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Date of birth

Day		Month		Year	
0	6	0	8	1	9
				6	1

Forename(s) | Kenji

Surname | Kimura

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† | 7 St. Regis Heights, Firecrest Drive

Post town | London

County / Region | UK Postcode | NW3 7NE

Country | United Kingdom

Nationality | Japanese

Business occupation | Banker

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Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day		Month		Year			
1	6	1	1	1	9	3	3

Forename(s) Lord Colin

Surname Marshall

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† 21 Montpelier Square

Post town London

County / Region UK Postcode SW7 1JR

Country United Kingdom Nationality British

Business occupation Director

Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day		Month		Year			
3	0	0	7	1	9	5	8

Forename(s) Masafumi

Surname Nakada

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† 3-17-21 Inokashira

Post town Mitaka-shi

County / Region Tokyo UK Postcode 181-0001

Country Japan Nationality Japanese

Business occupation Director

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2000

Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day		Month		Year	
0	8	1	2	1	9
				5	3

Forename(s) | Sadeq

Surname | Sayeed

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address †† | 16 Phillimore Place

Post town | London

County / Region | UK Postcode | W8 7BU

Country | United Kingdom | Nationality | British

Business occupation | Banker

Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Details of new directors must be notified on form 288a

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day		Month		Year	
1	7	1	1	1	9
				6	0

Forename(s) | Paul

Surname | Spanswick

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

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Address †† | 30 Mount Park Crescent, Ealing

Post town | London

County / Region | UK Postcode | W5 2RR

Country | United Kingdom | Nationality | British

Business occupation | Banker

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2000

Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

Sir

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Day Month Year

Date of birth

1 1 0 3 1 9 3 1

Forename(s) Sir Peter Ingram

Surname Walters

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address ††

10 Launceston Place, Kensington

Post town London

County / Region

UK Postcode W8 5RL

Country United Kingdom

Nationality British

Business occupation Senior Adviser

Details of new directors must be notified on form 288a

Directors

Please list directors in alphabetical order.

* Voluntary details.

Name

* Style / Title

In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name

Day Month Year

Date of birth

Forename(s)

Surname

†† Tick the box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985. Otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☐

Address ††

Post town

County / Region

UK Postcode

Country

Nationality

Business occupation

Details of new directors must be notified on form 288a

Issue share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule

Totals**Traded public companies**

A traded public company means a company any of whose shares are shares admitted to trading on a regulated market

Please tick this box if your company was a traded public company at any time during the period of this return

☐**List of past and present shareholders**

(use attached schedule where appropriate)

Private or non-traded public companies are required to provide a "full list" if one was not included with either of the last two returns.

Traded public companies are required to provide a list of shareholders who held at least 5% of the issued shares of any share class if a list was not provided with either of the last two returns.

Please tick the appropriate box below:

A full list of shareholders for a private or non-traded public company is enclosed. **Please complete Schedule A.**A list of shareholders holding at least 5% of the issued shares of any share class for a traded public company is enclosed. **Please complete Schedule B.**

A list containing shareholder changes is enclosed

→ For private or non-traded public companies, please complete **Schedule A**→ For traded public companies, please complete **Schedule B**

on paper in another format

☒☐☐☐☐☐

There were no shareholder changes in this period

☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

29/9/09

† Please delete as appropriate.

† (~~director~~ / secretary)

When you have signed the return send it with the fee to the Registrar of Companies. Make cheques payable to Companies House.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

4

continuation sheets.

(enter number)

Denise Carolyn Dillon

Nomura House, 1 St. Martin's-le-Grand, London, EC1A 4NP

Tel 020 7521 2432

DX number DX exchange

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2020

CHFP010

Company Name in full | Nomura International plc

Currency | Pounds Sterling

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

Number of shares
issued

Aggregate Nominal Value

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

£2,362,602,254.00

1 Ordinary

2,362,602,254

Totals

2,362,602,254

2,362,602,254.00

Issue share capital Schedule to form 363a

CHFP010

Company Number 1550505

Company Name in full Nomura International plc

Currency Japanese Yen

Issue share capitalEnter details of all the shares in issue
at the date of this return.

	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
Ordinary		238,264,800	JPY59,566,200,000.00
Totals		238,264,800	59,566,200,000.00

Schedule A for private or non-traded public companies List of past and present shareholders

(Please use a continuation sheet if required)

CHFP010

Company Number

1550505

Company Name in full

Nomura International plc

This must only be completed by private and public limited companies that have not traded on a regulated market

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order to provide an index
- List joint shareholders consecutively

Do not give shareholder address information

Shareholder's name only	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate)	Date of registration of transfer
Name Yugo Ishida	£1.00 Ordinary			
	Shares Held 1			
Name Nomura Europe Holdings plc	£1.00 Ordinary			
	Shares Held 2,362,602,253			
Name				

Schedule A for private or non-traded public companies List of past and present shareholders

(Please use a continuation sheet if required)

CHFP010

Company Number

1550505

Company Name in full

Nomura International plc

This must only be completed by private and public limited companies that have not traded on a regulated market

- Changes to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order to provide an index
- List joint shareholders consecutively

Do not give shareholder address information

Shareholder's name only	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Nomura Europe Holdings plc	JPY250.00 Ordinary			
	Shares Held 238,264,800			
Name 				
Name 				