



**BLUEPRINT**  
Company Secretary

NWB.  
£15.00  
019881

# 363a

## Annual Return

**Company Number** 1548967

**Company Name in full** Salomon Brothers Europe Limited



\* F 3 6 3 A C 5 0 \*

**Date of this return** (See note 1)

The information in this return is made up to

Day Month Year

12 01 98

**Date of next return** (See note 2)

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here. Companies House will then send a form at the appropriate time.

Day Month Year

12 01 99

**Registered Office** (See note 3)

Show here the address **at the date of this return.**

Any change of  
registered office  
**must** be notified  
on form 287.

Post town

County / Region

Postcode

VICTORIA PLAZA

111 BUCKINGHAM PALACE ROAD

LONDON

SW1W 0SB

### Principal business activities

(See note 4)

Show trade classification code number(s) for the principal activity or activities.

7415

If the code number cannot be determined, give a brief description of principal activity.



A24 \*A7WE43HF\* 393  
COMPANIES HOUSE 06/02/98

When you have completed and signed the form please send it to  
**Companies House, Crown Way, Cardiff, CF4 3UZ DX 33050 Cardiff**  
for companies registered in England and Wales or  
**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**  
for companies registered in Scotland **DX 235 Edinburgh**

**Register of members** (See note 5)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

(The Registered Office)

**Register of Debenture holders**

(See note 6)

If the register of members is not kept at the registered office, state here where it is kept.

Post town  
County / Region

**Company type** (See note 7)

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

<input type="checkbox"/>
<input checked="" type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>
<input type="checkbox"/>

Please mark the appropriate box

**Company Secretary** (See notes 1-5)

(Please photocopy this area to provide details of joint secretaries).

Name \* Style / Title

Forename(s)

Surname

\* Voluntary details. Previous forename(s)

Previous surname(s)

Address

**Usual residential**

address must be given. In the case of a corporation, give the registered or principal office address.

Post town  
County / Region  
Country

Details of a new company secretary must be notified on form 288a.

Ms

\* Honours etc

Kyra Kreshik

Bergin

21 Shawfield Street

London

Postcode SW3 4BA

England

**Directors** (See notes 1-5)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	<b>* Style / Title</b>	<b>Mr.</b>			<b>Day</b>	<b>Month</b>	<b>Year</b>
<b>* Honours etc</b>		<b>Date of birth</b>			<b>04</b>	<b>07</b>	<b>42</b>
<b>Forename(s)</b>	<b>Charles Senff</b>						
<b>Surname</b>	<b>McVeigh III</b>						
<b>Previous forename(s)</b>							
<b>Previous surname(s)</b>							
<b>Address</b>	<b>18 Fawcett Street</b>						
<b>Post town</b>	<b>LONDON</b>						
<b>County / Region</b>				<b>Postcode</b>	<b>SW10 9JD</b>		
<b>Country</b>	<b>England</b>			<b>Nationality</b>	<b>British</b>		
<b>Business occupation</b>	<b>Investment Banker</b>						
<b>Other directorships</b>	<b>(See continuation sheet).</b>						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	<b>* Style / Title</b>	<b>Mr</b>			<b>Day</b>	<b>Month</b>	<b>Year</b>
	<b>* Honours etc</b>		<b>Date of birth</b>			<b>10</b>	<b>02</b>	<b>40</b>
	<b>Forename(s)</b>	<b>Peter James</b>						
	<b>Surname</b>	<b>Middleton</b>						
	<b>Previous forename(s)</b>							
	<b>Previous surname(s)</b>							
	<b>Address</b>	<b>3 Kempson Road</b>						
<b>Post town</b>	<b>LONDON</b>							
<b>County / Region</b>				<b>Postcode</b>	<b>SW6 4PX</b>			
<b>Country</b>	<b>England</b>			<b>Nationality</b>	<b>British</b>			
<b>Business occupation</b>	<b>Chief Executive</b>							
<b>Other directorships</b>	<b>(See continuation sheet).</b>							

**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share)
2nd Tranche New Red Pref Shares of £1	126,742,712	£126,742,712.00
3rd Tranche New Red Pref Shares of £1	10,400,000	£10,400,000.00
A Ordinary Shares of £1 each	15,800,000	£15,800,000.00
B Ordinary Shares of £1 each	5,265,000	£5,265,000.00
<b>Totals</b>	See continuation sheet	

**List of past and present members**

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

(see note 10)

There were no changes in the period

☐

on paper in another format

A list of changes is enclosed

☐
☐

A full list of members is enclosed

☒
☐
**Elective resolutions**

(Private companies only)

(see note 11)

If at the date of this return an election is in force to dispense with annual general meetings, mark this box

☒

If at the date of this return an election is in force to dispense with laying accounts in general meetings, mark this box

☒
**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed

*Peter Middleton*

Date

5. 2. 98

† Please delete as appropriate.

† a director /secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House.**

This return includes

3

continuation sheets.

(enter number)

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

Mrs Dawn Waddell  
Victoria Plaza  
111 Buckingham Palace Road  
London  
SW1W 0SB

**Directors** (Continued)

Please list directors in alphabetical order.

Details of a new directors must be notified on form 288a.

<b>Name</b>	<b>* Style / Title</b>	<b>Mr</b>			<b>Day</b>	<b>Month</b>	<b>Year</b>
<b>* Honours etc</b>		<b>Date of birth</b>			<b>30</b>	<b>08</b>	<b>48</b>
<b>Forename(s)</b>	<b>Saul Malcolm</b>						
<b>Surname</b>	<b>Rosen</b>						
<b>Previous forename(s)</b>							
<b>Previous surname(s)</b>							
<b>Address</b>	<b>466 Washington Street</b>						
	<b>New York</b>						
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<b>Post town</b>	<b>New York</b>					
	<b>County / Region</b>		<b>Postcode</b>	<b>10013</b>			
	<b>Country</b>	<b>Unites States of America</b>			<b>Nationality</b>	<b>American</b>	
<b>Business occupation</b>	<b>Director</b>						
<b>Other directorships</b>	<b>None</b>						

\* Voluntary details.

<b>Directors</b>	<b>Name</b>	<b>* Style / Title</b>				<b>Day</b>	<b>Month</b>	<b>Year</b>
	<b>* Honours etc</b>		<b>Date of birth</b>					
	<b>Forename(s)</b>							
	<b>Surname</b>							
	<b>Previous forename(s)</b>							
	<b>Previous surname(s)</b>							
	<b>Address</b>							
<b>Usual residential address</b> must be given. In the case of a corporation, give the registered or principal office address.	<b>Post town</b>							
	<b>County / Region</b>		<b>Postcode</b>					
	<b>Country</b>				<b>Nationality</b>			
<b>Business occupation</b>								
<b>Other directorships</b>								

Company Number 1548967

Company Name in full Salomon Brothers Europe Limited

Current directorships for Mr. Charles Senff McVeigh III

FL Estates Limited

The American Chamber of Commerce

Past directorships for Mr. Charles Senff McVeigh III

The Securities and Futures Authority Limited (09-Apr-1997)

Current directorships for Mr Peter James Middleton

Balafonic Limited

Kai Music Limited

Millenium Business Ventures Limited

Royal Albert Hall Developments Limited

Past directorships for Mr Peter James Middleton

BAA PLC (23-Mar-1995)

Chelsea Village plc (06-Nov-1996)

City Arts Trust Limited (The) (19-Jun-1995)

Highway Business Systems Limited (18-Sep-1997)

LLP Limited (06-Nov-1995)

The Mortgage Corporation Direct Limited (06-Aug-1996)

The Mortgage Corporation Limited (06-Aug-1996)

Yellowbird Music Limited (23-Jun-1997)

**Issued share capital  
(continuation sheet)**

Form 363a Continuation sheet

Company Number	1548967
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**Issued share capital** (See notes 9)

Enter details of all the shares in issue at the date of this return.

[illegible]

**List of past and present members  
Schedule to form 363a and 363b**

**Company Number** 1548967

**Company Name in full** Salomon Brothers Europe Limited

Number of shares  
or amount of  
stock held by  
existing members  
at date of this  
return.

Particulars of shares or stock transferred since  
the date of the last return (or in the case of the  
first return, since the incorporation of the  
company) by  
(a) persons who are still members, and  
(b) persons who have ceased to be members.

Name and address	Number or amount currently held	Number or amount Transferred	Date of registration of transfer	Remarks
Salomon (International) Finance AG				
Baarermatte, 6301 Zug, Switzerland				
2nd Tranche New Red Pref Shares of £1	126,742,712			
B Ordinary Shares of £1 each	5,265,000			
New Redeemable Preference Shares Of £1	442,325,672			
Salomon International Limited				
VICTORIA PLAZA, 111 BUCKINGHAM PALACE ROAD, LONDON, SW1W 0SB				
3rd Tranche New Red Pref Shares of £1	10,400,000			
A Ordinary Shares of £1 each	15,800,000			
Fourth Tranche New Red Pref Shs of £10	11,884,954			