

087535/£90



363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP029

Company Number 1423001

Company Name in full Intercapital plc

Date of this return

The information in this return is made up to

Day Month Year

2 7 / 0 5 / 2 0 0 5

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

2 7 / 0 5 / 2 0 0 6

Registered Office

Show here the address at the date of
this return.

2 Broadgate

Any change of
registered office
must be notified
on form 287.

Post town

London

County / Region

UK Postcode

E C 2 M 7 U R

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

7484

If the code number cannot be determined,
give a brief description of principal activity.



A42
COMPANIES HOUSE

0119
09/06/05

Form April 2002

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh
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Register of members

If the register of members is not kept at the registered office, state here where it is kept.

At Registered Office

Post town

County/Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Not applicable

Post town

County/Region

UK Postcode

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 9

☐

Private company limited by guarantee exempt under section 9

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

Voluntary details

(Please photocopy this area to provide details of joint secretaries).

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

Name

*Style / Title

Miss

Forename(s)

Helen Frances

Surname

Broomfield

Address ††

☐

68 Glenwood Gardens

Gants Hill

Post town

Ilford

County/ Region

Essex

UK Postcode

Country

England

I G 2 6 X U

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Details of a new company secretary must be notified on form 288a.

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title	Miss		
		Day	Month	Year
	Date of Birth	0	5	10
		1	0	9
		6	5	
	Forename(s)	Helen Frances		
	Surname	Broomfield		
<input type="checkbox"/>	Address ††	68 Glenwood Gardens		
		Gants Hill		
	Post town	Ilford		
	County / Region	Essex	UK Postcode	1
				6
				X
				U
	Country	England	Nationality	British
	Business occupation	Chartered Secretary		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title	Mr		
		Day	Month	Year
	Date of Birth	1	0	1
		1	1	9
		4	7	
	Forename(s)	David		
	Surname	Gelber		
<input type="checkbox"/>	Address ††	6 Clorane Gardens		
	Post town	London		
	County / Region		UK Postcode	N
				W
				3
				7
				1
				R
	Country	England	Nationality	Canadian
	Business occupation	Director		

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title	Mr		
		Day	Month	Year
	Date of Birth	2	8	07/1958
	Forename(s)	James Neilson		
	Surname	Pettigrew		
<input type="checkbox"/>	Address ††	6 Park Avenue South		
	Post town	Harpenden		
	County / Region	Herts	UK Postcode	AL5 2EA
	Country	England	Nationality	British
	Business occupation	Director (Finance)		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation, or Scottish firm, give the registered or principal office address

Name	* Style / Title	Mr		
		Day	Month	Year
	Date of Birth	3	0	05/1955
	Forename(s)	Michael Alan		
	Surname	Spencer		
<input type="checkbox"/>	Address ††	Tunstall Hall		
		Snape Hall, Tunstall		
	Post town	Nr Woodbridge		
	County / Region	Suffolk	UK Postcode	IP12 2JL
	Country	England	Nationality	British
	Business occupation	Chief Executive		

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

Ord 25p shares	576606939	£ 144,151,734.75
Totals	576606939	£ 144,151,734.75

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

	on paper	in another format
A list of changes is enclosed	<input type="checkbox"/>	<input type="checkbox"/>
A full list of shareholders is enclosed	<input checked="" type="checkbox"/>	<input type="checkbox"/>

Certificate

I certify that the information given in this return is true to the best of my Knowledge and belief.

Signed

Ade Iremfeld

Date

31/05/2005

† Please delete as appropriate.

† a director /secretary

if you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.

This return includes

continuation sheets

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Kathryn Dickinson

12 Broadgate, London, England, EC2M 7UR.

Tel

DX number DX exchange



Company Number | 1423001

Company Name in full | Intercapital plc

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred <i>(if appropriate)</i> Class and number of shares or amount of stock transferred Date of registration of transfer
Name Garban Nominees Limited Address 2 Broadgate, London, England. UK Postcode EC2M 7UR	ord 25p shares	1
Name Garban-Intercapital America (No. 2) Limited Address 2 Broadgate, London, England. UK Postcode EC2M 7UR	2002 n/c red pref	0.00
Name Garban-Intercapital America (No. 4) Limited Address 2 Broadgate, London, England. UK Postcode EC2M 7UR	2002 n/c red pref	0.00

List of past and present shareholders (Continued)

Company Number 1423001

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name ICAP America Investments Limited <hr/> Address 2 Broadgate, London, England. <hr/> <hr/> UK Postcode EC2M 7UR	2002 n/c red pref 0.00		
Name ICAP North America Investments Limited <hr/> Address 2 Broadgate, London, England. <hr/> <hr/> UK Postcode EC2M 7UR	2002 n/c red pref 0.00		
Name ICAP plc <hr/> Address 2 Broadgate, London, England. <hr/> <hr/> UK Postcode EC2M 7UR	ord 25p shares 576606938		
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode L L L L L L L			
Name <hr/> Address <hr/> <hr/> <hr/> UK Postcode L L L L L L L			