



Please complete in typescript,
or in bold black capitals.

CHFP029

083645/15
363a

Annual Return

Company Number 1423001

Company Name in full Intercapital plc

Date of this return

The information in this return is made up to

Day Month Year

27 / 05 / 2002

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year

27 / 05 / 2003

Registered Office

Show here the address at the date of
this return.

PARK HOUSE

16 FINSBURY CIRCUS

Any change of
registered office
must be notified
on form 287.

Post town

LONDON

County / Region

UK Postcode

EC2M 7UR

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

7484

If the code number cannot be determined,
give a brief description of principal activity.



When you have completed and signed the form please send it to the
Registrar of Companies at:
Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales
or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh
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Register of members

If the register of members is not kept at the registered office, state here where it is kept.

LLOYDS BANK REGISTRARS

THE CAUSEWAY

Post town WORTHING

County / Region WEST SUSSEX

UK Postcode B N 9 9 6 D A

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

NOT APPLICABLE

Post town

County / Region

UK Postcode

Company type

Public limited company

☒

Private company limited by shares

☐

Private company limited by guarantee without share capital

☐

Private company limited by shares exempt under section 30

☐

Private company limited by guarantee exempt under section 30

☐

Private unlimited company with share capital

☐

Private unlimited company without share capital

☐

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, or a Scottish firm, give the registered or principal office address.

Details of a new company secretary must be notified on form 288a.

Name * Style / Title MR

Forename(s) EDWARD CHARLES

Surname(s) PANK

Address RED BARN FARMHOUSE

SHERNBORNE

Post town NR. KING'S LYNN

County / Region NORFOLK

UK Postcode P E 3 1 6 S B

Country ENGLAND

Directors

Details of new directors must be notified on form 288a

Please list directors in alphabetical order.

Name	* Style / Title	MR									
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year							
	Date of birth	1	0	1	1	1	9	4	7		
	Forename(s)	DAVID									
	Surname	GELBER									
Address	6 CLORANE GARDENS										
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.										
	Post town	LONDON									
	County / Region				UK Postcode	N	W	3	7	I	R
	Country	ENGLAND			Nationality	CANADA					
Business occupation	DIRECTOR										

* Voluntary details.

Name	* Style / Title	MR										
Directors	In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.	Day	Month	Year								
	Date of birth	0	5	0	6	1	9	4	5			
	Forename(s)	EDWARD CHARLES										
	Surname	PANK										
Address	RED BARN FARMHOUSE											
Usual residential address	must be given. In the case of a corporation or a Scottish firm, give the registered or principal office address.											
	Post town	NR. KING'S LYNN										
	County / Region	NORFOLK			UK Postcode	P	E	3	1	6	S	B
	Country	ENGLAND			Nationality	BRITISH						
Business occupation	SOLICITOR											

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR	
	Day	Month	Year
Date of birth	2	8	07 / 1958
Forename(s)	JAMES NEILSON		
Surname	PETTIGREW		
Address	6 PARK AVENUE SOUTH		
Usual residential address			
Post town	HARPENDEN		
County / Region	HERTS	UK Postcode	AL5 2EA
Country	ENGLAND	Nationality	BRITISH
Business occupation	DIRECTOR (FINANCE)		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

Name	* Style / Title	MR	
	Day	Month	Year
Date of birth	3	0	05 / 1955
Forename(s)	MICHAEL ALAN		
Surname	SPENCER		
Address	TUNSTALL HALL, TUNSTALL		
Usual residential address			
Post town	WOODBIDGE		
County / Region	SUFFOLK	UK Postcode	IP12 2JL
Country	ENGLAND	Nationality	BRITISH
Business occupation	CHIEF EXECUTIVE		

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

ORD 25P SHARES	367,948,011	£ 91,987,002.75
Totals	367,948,011	£ 91,987,002.75

List of past and present shareholders
(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period ☐

on paper in another format

A list of changes is enclosed

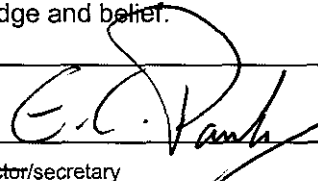
☐
☐

A full list of shareholders is enclosed

☒
☐
Certificate

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed


† a director/secretary

Date

27/05/02

† Please delete as appropriate.

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes

(enter number)

continuation sheets.

Please give the name, address, telephone number, and if available, a DX number and Exchange, for the person Companies House should contact if there is any query.

HELEN BROOMFIELD, PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, EC2M 7UR

Tel 020 7463 4388

DX number DX exchange



List of past and present shareholders Schedule to form 363a

CHFP029

Company Number 1423001

Company Name in full Intercapital plc

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>GARBAN NOMINEES LIMITED</u> Address <u>PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, ENGLAND</u> UK Postcode <u>E C 2 M 7 U R</u>	ord 25p shares 1		
Name <u>ICAP PLC</u> Address <u>PARK HOUSE, 16 FINSBURY CIRCUS, LONDON, ENGLAND</u> UK Postcode <u>E C 2 M 7 U R</u>	ord 25p shares 367,948,010		
Name Address UK Postcode <u> </u>			