

363a

Please complete in or in bold black cap		Annual Return
CHFP010 C	ompany Number	925279
Comp	any Name in full	N M Rothschild & Sons Limited
Date of this return The information in thi		Day Month Year  0 4 0 5 2 0 0 6
Date of next retu	ırn	
f you wish to make y date earlier than the a return please show th Companies House w at the appropriate tim	anniversary of this ne date here. ill then send a form	Day Month Year
Registered Offic Show here the addre this return.		New Court, St Swithin's Lane
Any change of registered office <b>mus</b>	Post town	London
be notified on form 287.	County / Region	
	UK Postcode	EC4P 4DU
Principal busine	ss activities	
Show trade classification in the principal activities.	ition code number(s) ity or activities.	6512
f the code number ca give a brief description activity	annot be determined, on of principal	

A28
COMPANIES HOUSE

24/06/2006

**COMPANIES HOUSE** 

07/06/2006

Form April 2002

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff

for companies registered in England and Wales

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members If the register of members is not kept at the registered office, state here where it is kept.  Post town	
County / Region	UK Postcode [
Register of Debenture holders If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.  Post town	
County / Region	UK Postcode [
Company type	
Public limited company	
Private company limited by shares	X
Private company limited by guarantee without share capital Private company limited by shares exempt under section 30 Private company limited by guarantee exempt under section 30 Private unlimited company with share capital Private unlimited company without share capital	Please tick the appropriate box
Company Secretary	Details of a new company secretary must be notified on form 288a.
(Please photocopy this area to provide details of joint secretaries).	<u></u>
* Voluntary details.	Anne-Marie
†† Tick this box if the address shown is a	Sizer
service address for the beneficiary of a Confidentiality Order granted under section	New Court, St Swithin's Lane
723B of the Companies Act 1985 otherwise, give your usual residential Post town	London
address. In the case of a corporation or County / Region	UK Postcode   EC4P 4DU
Scottish firm, give the registered or principal Country office address.	United Kingdom

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Page 2

Register of members If the register of members is not kept at the registered office, state here where it is kept.  Post town  County / Region	UK Postcode
Register of Debenture holders If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.  Post town	
County / Region	UK Postcode
Company type	
Public limited company	
Private company limited by shares	
Private company limited by guarantee without share capital Private company limited by shares exempt under section 30 Private company limited by guarantee exempt under section 30 Private unlimited company with share capital Private unlimited company without share capital	Please tick the appropriate box
Company Secretary	Details of a new company secretary must be notified on form 288a.
(Please photocopy this area to provide details of joint  * Style / Title	<u> </u>
* Voluntary details.	Jonathan
†† Tick this box if the Surname address shown is a	Westcott
service address for the beneficiary of a Confidentiality Order	New Court, St Swithin's Lane
granted under section 723B of the Companies Act 1985	
otherwise, give your usual residential Post town	London
address. In the case of a corporation or County / Region	UK Postcode EC4P 4DU
Scottish firm, give the registered or principal Country office address.	United Kingdom

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

<b>Directors</b> Please list directors in a	alphabetical order.	Details of new directors must be notified on form 288a	
	ame * Style / Title	t .	
Directors In the	<b>5.</b> ,	Day Marilla V	
case of a director		Day Month Year	
that is a	Date of birth	2   3   0   3   1   9   4   6	
corporation or a	Date of biltin		
Scottish firm, the name is the	Forename(s)	1 Anthony Transl	
corporate or firm	i Orename(s)	Anthony Joseph	
name.	Surname	Alt	
†† Tick this box if the		AIL	—
address shown is a	X	Nov. Count of Cuithinle Long	
service address for	Address ††	New Court, St Swithin's Lane	—
the beneficiary of a Confidentiality Order		1	
granted under section			—
723B of the	Post town	Lindon	
Companies Act 1985 otherwise, give your	1 031 10411	London	—
usual residential	County / Region	UK Postcode   EC4P 4DU	
address. In the case of	County / Flogion	UK Postcode EC4P 4DU	—
a corporation or Scottish firm, give the	Country	United Kingdom   Nationality   British	
registered or principal	Country	United Kingdom Nationality British	
office address	Business occupation	Merchant Banker	
		Melchanc Banker	—
* Voluntary details.			
	lame * Style / Title	I	
<b>Directors</b> In the case of a director	-	Day Month Year	
that is a		2 6 0 6 1 9 6 0	
corporation or a	Date of birth		
Scottish firm, the			
name is the	Forename(s)	Isobel Mary	
corporate or firm			_
name.	Surname	Baxter	
†† Tick this box if the			
address shown is a service address for	X Address ††	New Court, St Swithin's Lane	
the beneficiary of a			
Confidentiality Order			
granted under section 723B of the			
Companies Act 1985	Post town	London	
otherwise, give your	<u> </u>		
usual residential address. In the case of	County / Region	UK Postcode EC4P 4DU	
a corporation or			
Scottish firm, give the	Country	Nationality British	
registered or principal office address.	Dualmana saassa Alaas		
:=:: = = =₹	<b>Business occupation</b>	Accountant	

Directors Please list directors in a	alphabetical order.	Details of new directors must be notified on form 288a
• •	lame * Style / Title	1
<b>Directors</b> In the case of a director	<b>- 7</b>	Day Month Year
that is a		0,5 0,6 1,9,4,6
corporation or a	Date of birth	
Scottish firm, the name is the	Forename(s)	1 Tathanu Tanana
corporate or firm	i Orename(s)	Anthony Leonard
name.	Surname	Chapman
†† Tick this box if the		
address shown is a service address for	Address ††	Aston House Stud, Aston
the beneficiary of a Confidentiality Order		1
granted under section		
723B of the Companies Act 1985	Post town	Rowant
otherwise, give your		
usual residential address. In the case of	County / Region	Oxfordshire UK Postcode OX9 5ST
a corporation or Scottish firm, give the	Country	Nationality   British
registered or principal	Country	Hationality Billish
office address.	<b>Business occupation</b>	Company Director
* Voluntary details.		
N	lame * Stylo / Titlo	
Directors In the	* Style / Title	
case of a director		Day Month Year
corporation or a	Date of birth	2 3 0 5 1 9 5 6
Scottish firm, the		
name is the	Forename(s)	Andrew
corporate or firm name.	Surname	Didham
†† Tick this box if the		Didiam
address shown is a service address for	X Address ††	New Court, St Swithin's Lane
the beneficiary of a		•
Confidentiality Order granted under section		L
723B of the Companies Act 1985	Post town	London
otherwise, give your		
usual residential address. In the case of	County / Region	UK Postcode EC4P 4DU
a corporation or	Country	Mationality I make a
Scottish firm, give the registered or principal	Country	Nationality British
office address.	<b>Business occupation</b>	Merchant Banker

Directors		Details of new directors must be notified on form	n 288a
Please list directors in a			
Directors In the	ame * Style / Title		
case of a director		Day Month Year	
that is a		1,1 0,9 1,9,3,8	
corporation or a	Date of birth		
Scottish firm, the	- ()		
name is the	Forename(s)	Lord Edward Alan John	
corporate or firm name.	Surnama		
Tick this box if the	Surname	George	
address shown is a	X	New Court, St Swithin's Lane	
service address for the beneficiary of a	Address ††	New Court, St Swithin S Lane	<u> </u>
Confidentiality Order		1	
granted under section 723B of the			<u> </u>
Companies Act 1985	Post town	London	
otherwise, give your			
usual residential address. In the case of	County / Region	UK Postcode	EC4P 4DU
a corporation or	Country	Notice of the l	
Scottish firm, give the registered or principal	Country	Nationality	British
office address	Business occupation	Banker	
	Duomood docupation	panker	
* Voluntary details.			
N	ame * Chalo / Titlo		
Directors In the	* Style / Title		
case of a director		Day Month Year	
that is a corporation or a	Date of birth	1   7   1   1   9   3   8	
Scottish firm, the	Date of Billin		
name is the	Forename(s)	Lord Charles Ronald Llewelyn	
corporate or firm			
name.	Surname	Guthrie	
†† Tick this box if the address shown is a	x		
service address for	Address ++	New Court, St Swithin's Lane	
the beneficiary of a Confidentiality Order		1	
granted under section			
723B of the Companies Act 1985	Post town	London	
otherwise, give your		La contraction of the contractio	
usual residential address. In the case of	County / Region	UK Postcode	EC4P 4DU
a corporation or			
Scottish firm, give the	Country	Nationality	British
registered or principal office address.	Puoinose convention	la pr	
	Business occupation	Company Director	<u> </u>

Directors		Details of new directors must be	e notified on form 288a
Please list directors in a	Iphabetical order.		
	ame * Style / Title	1	
Directors In the	Otyle / Title		•
case of a director		Day Month Year	
that is a		2,4 0,3 1,9,5,5	
corporation or a	Date of birth	<u> </u>	
Scottish firm, the			
name is the	Forename(s)	Timothy John Pennington	
corporate or firm	` ,		<del></del>
name.	Surname	Hancock	
†† Tick this box if the	Carraine	Hallcock	
address shown is a	x	1	_
service address for	Address ++	New Court, St Swithin's	Lane
the beneficiary of a			
Confidentiality Order			
granted under section 723B of the			
Companies Act 1985	Post town	London	
otherwise, give your			
usual residential	County / Region	1	UK Postcode   EC4P 4DU
address. In the case of	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	L	
a corporation or	Country	1	Nationality   British
Scottish firm, give the registered or principal	Country		British
office address	Dualmana annuation	1	
	Business occupation	Merchant Banker	
***			
* Voluntary details.			
	ame * Style / Title	1	
Directors in the	•	Day Month Voor	
case of a director		Day Month Year	
that is a	Data of hirth	2 3 1 1 1 1 9 3 7	
corporation or a	Date of birth		
Scottish firm, the	_		
name is the	Forename(s)	Sir Graham James	
corporate or firm			
name.	Surname	Hearne	
†† Tick this box if the			
address shown is a	Address ††	5 Crescent Place	
service address for	Address []		
the beneficiary of a Confidentiality Order		1	
granted under section			
723B of the	Doct town	1	
Companies Act 1985	Post town	London	
otherwise, give your			luz B
usual residential address. In the case of	County / Region		UK Postcode SW3 2EA
a corporation or		<del></del>	
Scottish firm, give the	Country	1	Nationality   British
registered or principal	•		
office address.	Business occupation	Merchant Banker	
	= = = = = = = = = = = = = = = =	11010HANG DUHNCI	

<b>Directors</b> Please list directors in a	iphabetical order.	Details of new directors must be	e notified on form 288a
		1	
Directors In the	* Style / Title		
case of a director		Day Month Year	
that is a corporation or a Scottish firm, the	Date of birth	2 5 0 9 1 9 6 0	
name is the corporate or firm	Forename(s)	Nigel Paul	
name.  †† Tick this box if the	Surname	Higgins	
address shown is a service address for the beneficiary of a Confidentiality Order granted under section	X Address #	New Court, St Swithin's	Lane
723B of the Companies Act 1985	Post town	London	
otherwise, give your usual residential address. In the case of	County / Region		UK Postcode EC4P 4DU
a corporation or Scottish firm, give the registered or principal	Country		Nationality British
office address	Business occupation	Merchant Banker	
* Voluntary details.			
N Directors In the	ame * Style / Title	Mr	
case of a director		Day Month Year	
t h a t i s a corporation or a Scottish firm, the	Date of birth	1 8 1 0 1 9 7 0	
name is the corporate or firm	Forename(s)	Joshua Joseph	
name.  †† Tick this box if the	Surname	Hoffman	
address shown is a service address for	Address ++	Flat 5, 31 Corsica Stree	t
the beneficiary of a Confidentiality Order granted under section		<u> </u>	
723B of the Companies Act 1985 otherwise, give your	Post town	London	
usual residential address. In the case of	County / Region	Ĺ	UK Postcode [ N5 1JT
a corporation or Scottish firm, give the registered or principal	Country	UK	Nationality   American
office address	Business occupation	Company Director	

<b>Directors</b> Please list directors in a	alphabetical order.	Details of new directors must be notified on form 288a
	lame * Style / Title	1
Directors In the	Style / Title	
case of a director		Day Month Year
that is a	Date of birth	3 1 1 2 1 9 4 7
corporation or a	Date of birth	
Scottish firm, the	[	1
name is the	Forename(s)	Peter Andrew
corporate or firm	O	
name.	Surname	Johns
†† Tick this box if the address shown is a	x	
service address for	Address ++	New Court, St Swithin's Lane
the beneficiary of a		
Confidentiality Order granted under section		
723B of the	<b>5</b>	
Companies Act 1985	Post town	London
otherwise, give your usual residential	0	
address. In the case of	County / Region	UK Postcode EC4P 4DU
a corporation or	•	
Scottish firm, give the registered or principal	Country	Nationality British
office address.	Description of the second seco	
	Business occupation	Merchant Banker
* Voluntary details.		
	lame * Style / Title	•
Directors In the	* Style / Title	<u></u>
case of a director		Day Month Year
that is a	Data of histo	2,0 0,5 1,9,5,3
corporation or a	Date of birth	
Scottish firm, the	Forename(s)	lou a man d
name is the corporate or firm	roiename(s)	Charles Robert
name.	Surname	17
†† Tick this box if the	Camame	Keay
address shown is a	X Address II	New Court, St Swithin's Lane
service address for	Address ++	New Court, St Switchill S Dane
the beneficiary of a Confidentiality Order		1
granted under section		
723B of the Companies Act 1985	Post town	London
otherwise, give your		
usual residential	County / Region	UK Postcode   EC4P 4DU
address. In the case of	- :, ,	2.(, 55.5540   1041 400
a corporation or Scottish firm, give the	Country	Nationality   British
registered or principal	· · · · · · ·	Director.
office address.	<b>Business occupation</b>	Merchant Banker

Directors		Details of new directors must be notified on form 288a
Please list directors in a		
Directors In the	lame * Style / Title	
case of a director		Day Month Year
that is a		1 1 5   1 1 2   1 1 9 1 4 1 2
corporation or a	Date of birth	
Scottish firm, the		
name is the	Forename(s)	Baron David Rene James de
corporate or firm		
name.	Surname	Rothschild
†† Tick this box if the		
address shown is a service address for	Address ++	17 Avenue Matignon
the beneficiary of a	,	
Confidentiality Order		
granted under section 723B of the		
Companies Act 1985	Post town	
otherwise, give your		
usual residential address, in the case of	County / Region	Paris UK Postcode 75008
a corporation or		
Scottish firm, give the registered or principal	Country	France Nationality French
office address	B. Janes	
	Business occupation	Merchant Banker
* Voluntary details.		
<b>.</b>	I	
Directors In the	lame * Style / Title	
case of a director		Day Month Year
that is a		0,3 1,0 1,9,4,0
corporation or a	Date of birth	
Scottish firm, the		
name is the	Forename(s)	Baron Eric Alain Robert David de
corporate or firm		
name.	Surname	Rothschild
†† Tick this box if the address shown is a		
service address for	Address ++	17 Avenue Matignon
the beneficiary of a		
Confidentiality Order granted under section		<u></u>
723B of the	<b>5</b>	
Companies Act 1985	Post town	Paris
otherwise, give your usual residential	Option to 1 Depart	I II Z Barata da La
address. In the case of	County / Region	UK Postcode 75008
a corporation or	Carmen	1 m
Scottish firm, give the registered or principal	Country	France Nationality French
office address.	Business occupation	Manahant Dankan
	=uameaa occupation	Merchant Banker



Directors		Details of new directors must be	e notified on form 288a
Please list directors in a	•		
Directors In the	ame * Style / Title		
case of a director		Day Month Year	
that is a	<b>-</b>	1,2 0,5 1,9,2,7	
corporation or a	Date of birth	<u> </u>	
Scottish firm, the	Γον(ο)	1	
name is the	Forename(s)	Leopold David de	
corporate or firm name.	Surnama	Rothschild	
†† Tick this box if the	Jumanie	Rothschild	
address shown is a	X Address ++	New Court, St Swithin's	Lane
service address for the beneficiary of a	Address #	New Court, or Switchin B	<u> </u>
Confidentiality Order		1	
granted under section 723B of the		<u> </u>	
Companies Act 1985	Post town	London	
otherwise, give your			
usual residential address. In the case of	County / Region		UK Postcode EC4P 4DU
a corporation or	0		N. U. and Phys.
Scottish firm, give the registered or principal	Country		Nationality British
affina adduses	Business occupation	I Manahant Baabaa	
	Dusiness occupation	Merchant Banker	
* Voluntary details.			
	lame * Style / Title		
Directors In the	* Style / Title		
case of a director		Day Month Year	
that is a	<b>5</b>	0   5   0   8   1   9   4   6	
corporation or a	Date of birth		
Scottish firm, the name is the	Forename(e)	Peter Alan	
corporate or firm	i oteriame(s)	Peter Alan	
name.	Surname	Smith	
†† Tick this box if the			
address shown is a service address for	Address ††	The Old Vicarage, Hugher	nden
the beneficiary of a	,		
Confidentiality Order			
granted under section 723B of the	D. d. L.		
Companies Act 1985	Post town	High Wycombe	
otherwise, give your usual residential	County / Region	1 Dueles	UK Postcode   HP14 4LA
address. In the case of	County / negion	Bucks	UK Postcode HP14 4LA
a corporation or Scottish firm, give the	Country	1	Nationality British
registered or principal	Quantity.		DITCION
office address.	<b>Business occupation</b>	Accountant	

Directors	efecto along 40 control and an	Details of new directors must be notified on form 288a	
Please list directors in a	•		
	ame * Style / Title	Sir	
Directors In the	·	Day Month Year	
case of a director			
that is a	Date of birth	1180111191315	
corporation or a	Date of bitti		
Scottish firm, the	Faranama(a)		
name is the	rorename(s)	Sir Clive Anthony	
corporate or firm	•		
name.	Surname	Whitmore	_
†† Tick this box if the address shown is a	V		
service address for	X Address ++	New Court, St Swithin's Lane	
the beneficiary of a			
Confidentiality Order			
granted under section			_
723B of the Companies Act 1985	Post town	London	
otherwise, give your			_
usual residential	County / Region	UK Postcode   EC4P 4DU	
address. In the case of	, ,		-
a corporation or Scottish firm, give the	Country	Nationality   British	
registered or principal	Country	Nationality Billeron	—
office address	Business occupation	Merchant Banker	
		Melchant Dankel	-
* Voluntary details.			
	ame * Style / Title	1	
Directors In the		Day Month Voor	
case of a director		Day Month Year	
that is a	Date of birth	2,2 0,3 1,9,5,5	
corporation or a	Date of bird)		
Scottish firm, the	Forename(s)	Nichalas Hunk Musmanna	
name is the	i orename(s)	Nicholas Hugh Tremayne	_
corporate or firm	Surname		
name.  †† Tick this box if the	Sumame	Wrigley	_
address shown is a	x		
service address for	Address ††	New Court, St Swithin's Lane	_
the beneficiary of a			
Confidentiality Order granted under section			
723B of the			
Companies Act 1985	Post town	London	_
otherwise, give your			
usual residential address. In the case of	County / Region	UK Postcode EC4P 4DU	_
a corporation or			
Scottish firm, give the	Country	Nationality British	_
registered or principal			
office address.	<b>Business occupation</b>	Merchant Banker	
			_

Enter details of all the shares in issue at the date of this return.	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)		
5.00% Cumulative Redeemable	Preference	100,000	£100,000.00		
Ordinary		50,000,000	£50,000,000.00		
1		<u></u>	<u> </u>		
	Totals	50,100,000	50,100,000.00		
List of past and present shareh (use attached schedule where appropriate) A full list is required if one was not included with either of the last two	nolders There were no change	es in the period X on pape	er in another format		
returns.	A list of changes is en	Γ			
Certificate	I certify that the inform knowledge and belief.	nation given in this return is	s true to the best of my		
Sign † Please delete as appropriate.	t-a director-/ secretary	Dat	e 23.5.06		
When you have signed the return send with the fee to the Registrar of Compan Cheques should be made payable to <b>Companies House</b> . You do not have to give any contact		This return includes 2 continuation sheets.			
information in the box opposite but if yo do, it will help Companies House to	u Anne-Marie Size	r, N M Rothschild &	Sons Limited, New		
contact you if there is a query on the for The contact information that you give w		Court, St Swithin's Lane, London, EC4P 4DU			
be visible to searchers of the public rec	ord. 	Tel			

DX number \_\_\_\_\_DX exchange \_\_\_\_\_



## List of past and present shareholders Schedule to form 363a

		Schedule to form 363a
CHFP010	Company Number	925279
	Company Name in full	N M Rothschild & Sons Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

		Shares or amount of stock transferred (if appropriate)		
Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer	
Name The Rothschild Archive Limited	£1.00 5.00% Cumulative Redeemable			
Address   New Court, St Swithin's Lane, London	Preference			
UK postcode EC4P 4DU	Shares Held 100,000			
Name				
Address				
UK postcode				
Name				
Address				
UK postcode				



## List of past and present shareholders Schedule to form 363a

		Schedule to form 363a
CHFP010	Company Number	925279
	Company Name in full	IN M Rothschild & Sons Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

	Shares or amount of stock transferred (if appropriate)		
Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name   N M Rothschild Holdings Limited	£1.00 Ordinary		
Address   New Court, St Swithin's Lane, London			
UK postcode EC4P 4DU	Shares Held 50,000,000		
Name			
Address			
UK postcode	_		
Name			
Address			
UK postcode	-		