

BLUEPRINT

OneWorld

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

925279

Company Name in full

N M Rothschild & Sons Limited

Date of this return

The information in this return is made up
to

Day		Month		Year			
0	4	0	5	2	0	0	6

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.

Companies House will then send a form
at the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of
this return.

New Court, St Swithin's Lane

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region**UK Postcode**

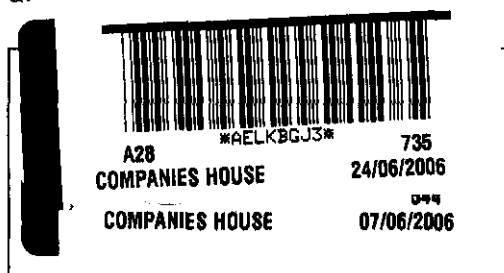
EC4P 4DU

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6512

If the code number cannot be determined,
give a brief description of principal
activity



When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Forename(s)

Anne-Marie

* Voluntary details.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

☒

Address ††

Surname

Sizer

Post town

London

County / Region

UK Postcode

EC4P 4DU

Country

United Kingdom

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Forename(s)

Surname

X

Address ††

Post town

County / Region

Country

Details of a new company secretary must be notified on form 288a.

Jonathan

Westcott

New Court, St Swithin's Lane

London

UK Postcode EC4P 4DU

United Kingdom

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Day Month Year

Date of birth

2 3 0 3 1 9 4 6

Forename(s)

Anthony Joseph

Surname

Alt

X

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode EC4P 4DU

Country

United Kingdom

Nationality

British

Business occupation

Merchant Banker

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

2 6 0 6 1 9 6 0

Forename(s)

Isobel Mary

Surname

Baxter

X

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode EC4P 4DU

Country

Nationality British

Business occupation

Accountant

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name

* Style / Title

Day Month Year

Date of birth

0 5 0 6 1 9 4 6

Forename(s)

Anthony Leonard

Surname

Chapman

☐

Address ††

Aston House Stud, Aston

Post town

Rowant

County / Region

Oxfordshire

UK Postcode

OX9 5ST

Country

Nationality

British

Business occupation

Company Director

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Day Month Year

Date of birth

2 3 0 5 1 9 5 6

Forename(s)

Andrew

Surname

Didham

☒

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode

EC4P 4DU

Country

Nationality

British

Business occupation

Merchant Banker

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name

* Style / Title

Day Month Year

Date of birth

1 1 0 9 1 9 3 8

Forename(s)

Lord Edward Alan John

Surname

George

☒

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode EC4P 4DU

Country

Nationality British

Business occupation

Banker

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

1 7 1 1 1 9 3 8

Forename(s)

Lord Charles Ronald Llewelyn

Surname

Guthrie

☒

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode EC4P 4DU

Country

Nationality British

Business occupation

Company Director

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title			
	Day	Month	Year	
Date of birth	2	4	0	3
	1	9	5	5
Forename(s)	Timothy John Pennington			
Surname	Hancock			
<input checked="" type="checkbox"/> Address ††	New Court, St Swithin's Lane			
Post town	London			
County / Region			UK Postcode	EC4P 4DU
Country			Nationality	British
Business occupation	Merchant Banker			

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	2	3	1	1
	1	9	3	7
Forename(s)	Sir Graham James			
Surname	Hearne			
<input type="checkbox"/> Address ††	5 Crescent Place			
Post town	London			
County / Region			UK Postcode	SW3 2EA
Country			Nationality	British
Business occupation	Merchant Banker			

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Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title			
	Day	Month	Year	
Date of birth	2	5	0	9 1 9 6 0
Forename(s)	Nigel Paul			
Surname	Higgins			
<input checked="" type="checkbox"/> Address ††	New Court, St Swithin's Lane			
Post town	London			
County / Region			UK Postcode	EC4P 4DU
Country			Nationality	British
Business occupation	Merchant Banker			

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title		Mr	
	Day	Month	Year	
Date of birth	1	8	1	0 1 9 7 0
Forename(s)	Joshua Joseph			
Surname	Hoffman			
<input type="checkbox"/> Address ††	Flat 5, 31 Corsica Street			
Post town	London			
County / Region			UK Postcode	N5 1JT
Country	UK		Nationality	American
Business occupation	Company Director			

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name

* Style / Title

Day Month Year

Date of birth

3 1 1 2 1 9 4 7

Forename(s)

Peter Andrew

Surname

Johns

X

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode

EC4P 4DU

Country

Nationality

British

Business occupation

Merchant Banker

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

2 0 0 5 1 9 5 3

Forename(s)

Charles Robert

Surname

Keay

X

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode

EC4P 4DU

Country

Nationality

British

Business occupation

Merchant Banker

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Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name * Style / Title _____

Date of birth Day Month Year
 1 5 1 2 1 9 4 2

Forename(s) Baron David Rene James de

Surname Rothschild

☐ Address †† 17 Avenue Matignon

Post town _____

County / Region Paris UK Postcode 75008

Country France Nationality French

Business occupation Merchant Banker

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name * Style / Title _____

Date of birth Day Month Year
 0 3 1 0 1 9 4 0

Forename(s) Baron Eric Alain Robert David de

Surname Rothschild

☐ Address †† 17 Avenue Matignon

Post town Paris

County / Region _____ UK Postcode 75008

Country France Nationality French

Business occupation Merchant Banker

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title		
		Day	Month
		Year	
Date of birth		1	2
		0	5
		1	9
		2	7
Forename(s)	Leopold David de		
Surname	Rothschild		
<input checked="" type="checkbox"/> Address ††	New Court, St Swithin's Lane		
Post town	London		
County / Region		UK Postcode	EC4P 4DU
Country		Nationality	British
Business occupation	Merchant Banker		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title		
		Day	Month
		Year	
Date of birth		0	5
		0	8
		1	9
		4	6
Forename(s)	Peter Alan		
Surname	Smith		
<input type="checkbox"/> Address ††	The Old Vicarage, Hughenden		
Post town	High Wycombe		
County / Region	Bucks	UK Postcode	HP14 4LA
Country		Nationality	British
Business occupation	Accountant		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name

* Style / Title

Sir

Day Month Year

Date of birth

1 8 0 1 1 9 3 5

Forename(s)

Sir Clive Anthony

Surname

Whitmore

☒

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode EC4P 4DU

Country

Nationality British

Business occupation

Merchant Banker

* Voluntary details.

Name

* Style / Title

Day Month Year

Date of birth

2 2 0 3 1 9 5 5

Forename(s)

Nicholas Hugh Tremayne

Surname

Wrigley

☒

Address ††

New Court, St Swithin's Lane

Post town

London

County / Region

UK Postcode EC4P 4DU

Country

Nationality British

Business occupation

Merchant Banker

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
5.00% Cumulative Redeemable Preference	100,000	£100,000.00
Ordinary	50,000,000	£50,000,000.00
Totals	50,100,000	50,100,000.00

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed


☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

23.5.06

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

2

continuation sheets.

(enter number)

Anne-Marie Sizer, N M Rothschild & Sons Limited, New

Court, St Swithin's Lane, London, EC4P 4DU

Tel

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DX number

DX exchange

List of past and present shareholders

Schedule to form 363a

CHFP010

Company Number

925279

Company Name in full

N M Rothschild & Sons Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name The Rothschild Archive Limited <hr/> Address New Court, St Swithin's Lane, London <hr/> UK postcode EC4P 4DU	£1.00 5.00% Cumulative Redeemable Preference Shares Held 100,000		
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			

List of past and present shareholders

Schedule to form 363a

CHFP010

Company Number 925279

Company Name in full N M Rothschild & Sons Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name N M Rothschild Holdings Limited Address New Court, St Swithin's Lane, London UK postcode EC4P 4DU	£1.00 Ordinary Shares Held 50,000,000		
Name Address UK postcode			
Name Address UK postcode			