



**Companies House**

— for the record —

Company Name

**J. ROTHSCHILD INVESTMENTS  
LIMITED**

## 363s Annual Return

- > Please check the details printed in blue on this statement.
- > If any details are wrong, strike them through and write the correct details in the "Amended details" column.
- > Please use black pen and write in capitals.

Company Type

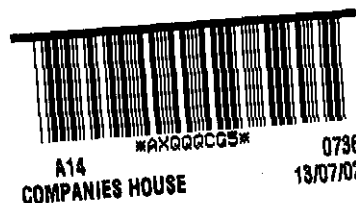
**Private Company Limited By  
Shares**

Company Number

**414450**

Information extracted from  
Companies House records on  
**5th July 2002**

### Section 1: Company details



Ref: 414450/03/10

#### Current details

#### Amended details

<p>&gt; Registered Office Address</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p><b>14 St Jamess Place London SW1A 1NP</b></p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode    _ _ _ _ _</p>																
<p>&gt; Register of Members</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p><b>Address where the Register is held</b></p> <p><b>At Registered Office</b></p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode    _ _ _ _ _</p>																
<p>&gt; Register of Debenture Holders</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p>	<p><b>Not Applicable</b></p>	<p>Address</p> <p>_____</p> <p>_____</p> <p>_____</p> <p>UK Postcode    _ _ _ _ _</p>																
<p>&gt; Principal Business Activities</p> <p><i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i></p> <p>&gt; Please enter additional principal activity code(s) in "Amended details" column. See notes for guidance for list of activity codes.</p>	<table border="1"> <thead> <tr> <th>SIC Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td><b>6523</b></td> <td><b>Other financial intermediation</b></td> </tr> </tbody> </table>	SIC Code	Description	<b>6523</b>	<b>Other financial intermediation</b>	<table border="1"> <thead> <tr> <th>SIC CODE</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> <tr> <td>_____</td> <td>_____</td> </tr> </tbody> </table>	SIC CODE	Description	_____	_____	_____	_____	_____	_____	_____	_____	_____	_____
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_____	_____																	
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## Section 2: Details of Officers of the Company

## Current details

## Amended details

## &gt; Company Secretary

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Company Secretary must be notified on form 288.

## Name

Stuart GERRISH

## Address

~~10 Ramsey Chase~~  
~~Wickford~~  
~~Essex~~  
~~SS12 9GA~~

## Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

## Address

5 POTTON DRIVE

WICKFORD

ESSEX

UK Postcode SS12 9GD

Date of change 01/04/2002

Date Stuart GERRISH

ceased to be secretary (if applicable)

/ /

## &gt; Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288.

## Name

Lord Nathaniel Charles Jacob  
ROTHSCHILD

## Address

~~30 Warwick Avenue~~  
~~London~~  
~~W9 2PT~~

Date of birth 29/04/1936

Nationality British

Occupation Company Director

## Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

## Address

EYTHROPE PAVILION

EYTHROPE, NR STONE

BUCKINGHAMSHIRE

UK Postcode HP18 0HS

Date of birth / /

Nationality

Occupation

Date of change / /

Date Lord Nathaniel Charles Jacob  
ROTHSCHILD ceased to be director (if  
applicable) / /

## &gt; Director

If any of the details for this person are wrong, strike them through and fill in the correct details in the "Amended details" column.

Particulars of a new Director must be notified on form 288.

## Current details

Name  
Lady Serena Mary ROTHSCHILD

Address  
~~30 Warwick Avenue~~  
~~London~~  
~~W9~~

Date of birth 28/04/1935

Nationality British

Occupation Farmer

## Amended details

Name

☐ Tick this box if this address is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985.

Address

STOWELL PARK

EAST STOWELL

MARLBOROUGH, WILTSHIRE

UK Postcode SN8 4JF

Date of birth \_ \_ / \_ \_ / \_ \_ \_ \_

Nationality

Occupation

Date of change \_ \_ / \_ \_ / \_ \_ \_ \_

Date Lady Serena Mary ROTHSCHILD  
ceased to be director (if applicable)

\_ \_ / \_ \_ / \_ \_ \_ \_

## Section 3: Share Capital

	Current details	Amended details
<b>&gt; Issued Share Capital</b> <i>This table shows the total number of shares that have been issued by your company and their Nominal Value. If any of the details are wrong, please fill in the correct details.</i>	<b>Class of share</b> <b>Ordinary</b> <b>Nominal value of each share</b> <b>£1.00</b> <b>Number of shares issued</b> <b>100</b> <b>Aggregate Nominal Value of issued shares</b> <b>£100.00</b>	<b>Class of share</b>  <b>Nominal value of each share</b>  <b>Number of shares issued</b>  <b>Aggregate Nominal Value of issued shares</b>  
	<b>Class of share</b> <b>Special Right Redeemable Preference</b> <b>Nominal value of each share</b> <b>£1.00</b>  <b>Number of shares issued</b> <b>1</b>  <b>Aggregate Nominal Value of issued shares</b> <b>£1.00</b>	<b>Class of share</b>  <b>Nominal value of each share</b>  <b>Number of shares issued</b>  <b>Aggregate Nominal Value of issued shares</b>  
<b>&gt; Total shares issued and value</b> <i>If any of the details are wrong, strike them through and fill in the correct details in the "Amended details" column.</i>	<b>Total number of shares issued</b> <b>101</b>  <b>Total Nominal value of shares issued</b> <b>£101.00</b>	<b>Total number of shares issued</b>   <b>Total Nominal value of shares issued</b>  
<b>&gt; At the date of this Annual Return, if the company has altered or changed its share capital in any way or allotted new shares, please refer to the guidance notes for details of the appropriate form that should be sent with this Annual Return. Annual return guidance notes are available on the Companies House web site at <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a> or by ringing 0870 333636.</b>		

**Section 4: Details of Shareholders**

- > The details we hold on your company's shareholders and their shareholdings are printed below. These are based on your last Annual Return.
- > If any details have changed, or if any shares have been transferred, please fill in the details in the "Amended details" or "Shares transferred" column.
- > Please give details of any other shareholders in Section 5.

Current details	Amended details	Shares transferred
<b>&gt; Shareholder Name</b> <b>J. ROTHSCHILD NAME CO. LTD</b>  <b>Address</b> <b>27 St. Jame's Place</b> <b>London</b> <b>SW1A 1NR</b>  <b>Shares held</b> Class <b>Special Right</b> <b>Redeemable</b> <b>Preference</b> Number <b>1</b>	Name _____  Address _____ _____ _____  UK Postcode    _ _ _ _ _ <b>Shares held</b> Class _____ _____ Number _____ _____	<b>Shares transferred by</b> <b>J. ROTHSCHILD NAME CO. LTD</b>  Class    Number    Date of transfer _____ _____ _____
<b>&gt; Shareholder Name</b> <b>OCTAVIAN NOMINEES LTD</b>  <b>Address</b> <b>Po Box 141</b> <b>La Tonnelle House Les</b> <b>Banques</b> <b>St. Sampson</b> <b>Guernsey - Channel Islands</b>  <b>Shares held</b> Class <b>Ordinary</b> Number <b>99</b>	Name _____  Address _____ _____ _____  UK Postcode    _ _ _ _ _ <b>Shares held</b> Class _____ _____ Number _____ _____	<b>Shares transferred by</b> <b>OCTAVIAN NOMINEES LTD</b>  Class    Number    Date of transfer _____ _____ _____
<b>&gt; Shareholder Name</b> <b>OCTAVIAN NOMINEES LTD</b>  <b>Address</b> <b>Po Box 141</b> <b>La Tonnelle House Les</b> <b>Banques</b> <b>St. Sampson</b> <b>Guernsey - Channel Islands</b>  <b>Shares held</b> Class <b>Ordinary</b> Number <b>1</b>	Name _____  Address _____ _____ _____  UK Postcode    _ _ _ _ _ <b>Shares held</b> Class _____ _____ Number _____ _____	<b>This shareholder jointly owns this shareholding with the following 1 shareholders</b> <b>Shares transferred by</b> <b>OCTAVIAN NOMINEES LTD</b>  Class    Number    Date of transfer <b>ORD</b> <b>1</b> <b>12/07/2002</b> _____ _____

Current details		Amended details	Shares transferred		
>	Shareholder Name	Name	This shareholder jointly owns this shareholding with the previous 1 shareholders		
	Robert Archibald Gilchrist SINCLAIR				
	Address	Address			
	C/O Octavian Nominees Ltd,				
	Po Box 141		Shares transferred by Robert Archibald Gilchrist SINCLAIR		
	La Tonnelle House Les Banques				
	St. Sampson	UK Postcode			
	Guernsey - Channel Islands	Shares held			
	Shares held	Class	Class	Number	Date of transfer
	Class		ORD	1	12/07/2002
	Ordinary	Number			
	1				

**Section 5: Details of Other Shareholders**

- > Please fill in details of any persons or corporate bodies who are shareholders of the company at the date of this return, but whose details are not printed in Section 4.
- > Also, provide the details of any persons who became but have ceased to be shareholders of the company since the date of the last annual return.
- > For jointly held shares please list those joint shareholders consecutively on the form. If a joint shareholder also holds shares in their own right, list that holding separately.
- > Please copy this page if there is not enough space to enter all the company's other shareholders.

Shareholders details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred (If appropriate)	Date of registration of transfer (If appropriate)
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			
Name _____ Address _____ _____ _____ UK Postcode    _ _ _ _ _			



Companies House

— for the record —

## 363s Annual Return Declaration

- > When you have checked all the sections of this form, please complete this page and sign the declaration below.
- > If you want to change the made up date of this annual return, please complete 2 below.

### 1. Declaration

- ☐ I confirm that the details in this annual return are correct as at the made-up-date (shown at 2 below). I enclose the filing fee of £15.

Signature

S. Gernish  
(Director / Secretary)

Date

12/07/2002

*This date must not be earlier than the return date at 2 below*

#### What to do now

Complete this page then send the whole of the Annual Return and the declaration to the address shown at 4 below.

### 2. Date of this return

- ☐ This AR is made up to **22/7/2002** If you are making this return up to an earlier date, please give the date here

12/07/2002

*Note: The form must be delivered to CH within 28 days of this date*

### 3. Date of next return

- ☐ If you wish to change your next return to a date earlier than **22nd July 2003** please give the new date here:

\_\_\_ / \_\_\_ / \_\_\_\_

### 4. Where to send this form

- ☐ Please return this form to:
- |                        |    |                                  |
|------------------------|----|----------------------------------|
| Registrar of Companies |    | For members of the Hays Document |
| Companies House        |    | Exchange service                 |
| Crown Way              | OR | DX 33050 Cardiff                 |
| Cardiff CF14 3UZ       |    |                                  |

**Have you enclosed the filing fee with the company number written on the reverse of the cheque?**

Cheque ☐ Postal Order ☐ Cheque / Postal Order

Number 007511

*(Please complete as appropriate)*

### Contact Address

You do not have to give any contact information below, but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

Contact Name

S. Gernish

Telephone number *inc code*

0207 493 8111

Address

14 St James's Place  
LONDON

DX number *if applicable*

\_\_\_\_\_

DX exchange

Postcode

SW1A 1NP



# List of past and present shareholders

## Schedule to form 363

(changes)

Company Number 414450

Company Name in full J Rothchild Investments Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Number of shares or amount of stock held at 12/07/2002	Shares or amount of stock transferred from 22/07/2001 to 12/07/2002	
		Number of shares or amount of stock transferred	Date of registration or transfer

J Rothschild Name Company Ltd  
14 St James's Place  
London  
SW1A 1NP

### Special Right Redeemable Preference share

	0	12/07/2002	Balance B/Fwd
Balance at 12/07/2002	0		

Octavian Nominees  
La Tonelle House, Les Banques  
St Sampson  
Guernsey  
GY1 3HS

### Ordinary Share

	99	12/07/2002	Balance B/Fwd
	1	12/07/2002	Transfer
Balance at 12/07/2002	100		

# List of past and present shareholders

## Schedule to form 363

*(changes)*

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Shareholders' details	Number of shares or amount of stock held at 12/07/2002	Shares or amount of stock transferred from 22/07/2001 to 12/07/2002	
		Number of shares or amount of stock transferred	Date of registration or transfer

Octavian Nominees Ltd  
& Mr Robert Archibald GichristSinclair  
La Tonelle House  
Les Banques St Sampson  
Guernsey  
GY1 3HS

### Ordinary Share

-1      12/07/2002    Transfer

Balance at 12/07/2002

-1