



Please complete in typescript,
or in bold black capitals.

CHFP001

Annual Return

Company Number 397156

Company Name in full 3i plc

Date of this return

The information in this return is made up to

Day Month Year
08/08/2006

Date of next return

If you wish to make your next return
to a date earlier than the anniversary
of this return please show the date here.
Companies House will then send a form
at the appropriate time.

Day Month Year
/ /

Registered Office

Show here the address at the date of
this return.

16 Palace Street

Any change of
registered office
must be notified
on form 287.

Post town London

County / Region

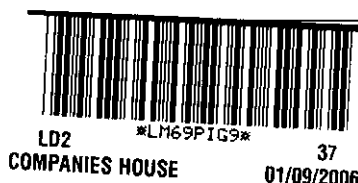
UK Postcode SW1E 5JD

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6523

If the code number cannot be determined,
give a brief description of principal activity.



Form April 2002

CHAD 16/07/2002

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state here where it is kept.

Computershare Investor Services plc, The Pavilions

Bridgwater Road

Post town

Bristol

County / Region

UK Postcode

B S 1 3 8 A E

Company type

Public limited company

X

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Mr

Forename(s)

Anthony William Wallace

Surname

Brierley

Address ††

17 St Luke's Street

Post town

London

County / Region

UK Postcode

S W 3 3 R P

Country

397156

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	Mr												
		Day	Month	Year										
Date of birth		0	2	0	5	1	9	6	0					
Forename(s)		Simon Peter												
Surname		Ball												
Address ††		23 Denbigh Gardens												
Post town		Richmond												
County / Region		Surrey				UK Postcode	T	W	1	0	6	E	L	
Country						Nationality	British							
Business occupation		Finance Director ✓												

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title												
		Day	Month	Year									
Date of birth		2	1	0	9	1	9	5	7				
Forename(s)		Denise Rosemary											
Surname		Collis											
Address ††		2 Gowan Avenue ~											
Post town		London											
County / Region						UK Postcode	S	W	6	6	R	F	
Country						Nationality	British						
Business occupation		HR Director ✓											

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	2	7	09/19/61
Forename(s)	Michael James		
Surname	Queen		
Address ††	25 Broad Highway		
Post town	Cobham		
County / Region	Surrey	UK Postcode	K T 1 1 2 R R
Country		Nationality	British
Business occupation	Investment Banker		

* Voluntary details.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	2	4	12/19/56
Forename(s)	Christopher Paul		
Surname	Rowlands		
Address ††	67 Chelsea Vista, Imperial Wharf		
	Townmead Road		
Post town	London		
County / Region		UK Postcode	S W 6 2 S D
Country		Nationality	British
Business occupation	Banker		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

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Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	1	4	0 5 / 1 9 6 0
Forename(s)	Jonathan Brian Cameron		
Surname	Russell		
Address ††	The Bothy, Crawley		
	Winchester		
Post town			
County / Region	Hampshire	UK Postcode	S O 2 1 2 Q B
Country		Nationality	British
Business occupation	Investment Capitalist		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	0	4	0 2 / 1 9 6 1
Forename(s)	Andrew Jonathan Mark		
Surname	Taylor		
Address ††	c/o 3i Group plc		
	16 Palace Street		
Post town	London		
County / Region		UK Postcode	S W 1 E 5 J D
Country		Nationality	British
Business occupation	Venture Capitalist		

Company Number 397156

Company Name in full 3i plc

* Voluntary details

Company Secretary

(Please photocopy this area to provide details of joint secretaries).

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Address ††

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Details of a new company secretary must be notified on form 288a

Name * Style / Title

Forename(s)

Surname

Post town

County / Region

UK Postcode

Country

Directors

Please list directors in alphabetical order.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Address ††

☐

Details of new directors must be notified on form 288a

Name * Style / Title

Mr

Day Month Year

Date of birth

0 6 / 0 6 / 1 9 5 4 ✓

Forename(s)

Paul

Surname

Waller

Address ††

The Spinney ✓

16 Pilgrims Way

Post town

Guildford

County / Region

Surrey

UK Postcode

G U 4 8 A D

Country

Nationality British

Business occupation

Banker ✓

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		1 6 / 1 0 / 1 9 7 0	
Forename(s)	Steven		
Surname	Whitaker		
Address ††	Eden Court House		
<input type="checkbox"/>	74 Ember Lane		
Post town	Esher		
County / Region	Surrey	UK Postcode	K T 1 0 8 E N
Country		Nationality	British
Business occupation	Accountant		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		1 1 / 1 2 / 1 9 5 4	
Forename(s)	Philip Edward		
Surname	Yea		
Address ††	3 The Courtyard		
<input type="checkbox"/>	27 Farm Street		
Post town	London		
County / Region		UK Postcode	W 1 J 5 R H
Country		Nationality	British
Business occupation	Accountant		

Particulars of shareholdings - Companies Act 1985, schedule 5 - 31 March 2006

Subsidiaries:

[illegible][illegible]



List of past and present shareholders Schedule to form 363a

Company Number 397156

Company Name in full 3i plc

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Shares or amount of stock transferred (if appropriate)	
		Class and number of shares or amount of stock transferred	Date of registration of transfer
Name <u>3i Holdings plc</u> Address <u>16 Palace Street</u> <u>London</u> _____ UK Postcode <u>S W 1 E 5 J D</u>	£1 Shares 109999800		
Name <u>Gardens Nominees Limited</u> Address <u>16 Palace Street</u> <u>London</u> _____ UK Postcode <u>S W 1 E 5 J D</u>	£1 Shares 100		
Name <u>3i Nippon Limited</u> Address <u>16 Palace Street</u> <u>London</u> _____ UK Postcode <u>S W 1 E 5 J D</u>	£1 Shares 100		

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

**Number of
shares issued**

**Aggregate
Nominal Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

£1 Shares	110000000	£110000000
Totals	110000000	£110000000

List of past and present shareholders

(Use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

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on paper in another format

A list of changes is enclosed

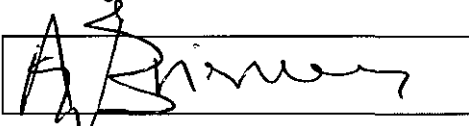
☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed



Date

1/9/2006

† Please delete as appropriate

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

This return includes 2 continuation sheets.

(enter number)

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

16 Palace Street

London

SW1E 5JD

Tel

DX number

DX exchange