

Company Number 1397156

Please complete in typescript, or in bold black capitals. CHFP001

# 1745/180 363a

## **Annual Return**

Compan	y Name in full	3i plc	_
		Day Month Year	
Date of this return	um ia mada um ta		
The information in this retu	rn is made up to	$\lfloor \frac{0}{2} \rfloor \frac{8}{10} \rfloor \frac{8}{10} \rfloor \frac{10}{10} \rfloor \frac{6}{10}$	
Date of next return			
If you wish to make your to a date earlier than the		Day Month Year	
of this return please sho Companies House will the		/ <b></b>	
at the appropriate time.			
Registered Office Show here the address:	at the date of	16 Palace Street	
this return.	at the date of		_
Any change of	Doct town		_
registered office must be notified	Post town	London	
on form 287.	County / Region		
	UK Postcode	S W 1 E 5 J D	
Principal business	activities		
-		W	
Show trade classification code number(s for the principal activity or activities.		6523	
If the code number cann	not be determined.		
give a brief description of			_
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\			

Form April 2002

LD2 \*LM6\*
COMPANIES HOUSE

01/09/2006

CHAD 16/07/2002

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff for companies registered in England and Wales

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB for companies registered in Scotland DX 235 Edinburgh

Register of memb		
If the register of memb registered office, state		
	1	
	Post town	
	County / Region	UK Postcode
Register of Deber If there is a register of or a duplicate of any s of it, which is not kep office, state here where	debenture holders, uch register or part it at the registered	Computershare Investor Services plc, The Pavilions  Bridgwater Road  Bristol
	County / Region	UK Postcode B S 1 3 8 A E
Company type		
Company type		
Public limited company		X
Private company limited b	y shares	
Private company limited be share capital	y guarantee without	
Private company limited be section 30	y shares exempt under	Please tick the appropriate box
Private company limited by guarantee exempt under section 30		
Private unlimited compan	y with share capital	
Private unlimited compan	y without share capital	
Company Secreta	ary	Details of a new company secretary must be notified on form 288a.
	me * Style / Title	Mr
details of joint sec- retaries).	Forename(s)	Anthony William Wallace
* Voluntary details.  †† Tick this box if the	Surname	, Brierley
address shown is a service address for		
the beneficiary of a Confidentiality Order	Address <sup>††</sup>	17 St Luke's Street
granted under section 723B of the		L
Companies Act 1985 otherwise, give your	Post town	London
usual residential address. In the case	County / Region	UK Postcode S W 3 3 R P
of a corporation or Scottish firm, give the registered or principal office address.	Country	
If a partnership give the names and addresses of the partners or the name of the partnership and office address.		397156

Directors		Details of new directors must be notified on form 288a
Please list directors in alphabetical order.  Name * Style / Title		Mr
<b>Directors</b> In the case of a director that is a corporation or a	ame * Style / Title	Day Month Year
Scottish firm, the name is the corporate or firm name.	Date of birth	$\frac{0}{2} \frac{2}{0} \frac{5}{1} \frac{1}{9} \frac{9}{6} \frac{6}{0}$
rate or illin name.	Forename(s)	Simon Peter
th Tick this box if the address shown is a service address for	Surname	Ball
the beneficiary of a Confidentiality Order granted under section	Address <sup>††</sup>	23 Denbigh Gardens
723B of the Companies Act 1985 otherwise, give your	<u></u>	
usual residential address. In the case of a corporation or	Post town	Richmond
Scottish firm, give the registered or principal office address.	County / Region	Surrey UK Postcode T W 1 0 6 E L
onice address.	Country	Nationality British
Вι	siness occupation	Finance Director
* Voluntary details.		
	me * Style / Title	1
<b>Directors</b> In the case of a director that is a corporation or a		Day Month Year
Scottish firm, the name is the corporate or firm name.	Date of birth	$\frac{2}{2} \frac{1}{1} \frac{0}{0} \frac{9}{1} \frac{1}{0} \frac{9}{0} \frac{5}{0} \frac{7}{0}$
	Forename(s)	Denise Rosemary
Tick this box if the address shown is a service address for	Surname	Collis
the beneficiary of a Confidentiality Order granted under section	Address <sup>††</sup>	2 Gowan Avenue
723B of the Companies Act 1985		
otherwise, give your usual residential address. In the case	Post town	London
of a corporation or Scottish firm, give the registered or principal	County / Region	UK Postcode S W 6 6 R F
office address.	Country	Nationality British
Bu	siness occupation	HR Director

Directors		Details of new directors must be notified on form 288a		
Please list directors in alphabetical order.  Name * Style / Title		Mr		
Directors In the case	e Style / Title			
of a director that is a corporation or a Scottish firm, the	Date of birth	Day Month Year		
name is the corporate or firm name.		$\frac{2}{2} \frac{7}{10} \frac{9}{10} \frac{1}{9} \frac{9}{10} \frac{6}{10} \frac{1}{10}$		
†† Tick this box if the	Forename(s)	Michael James		
address shown is a service address for	Surname	Queen		
the beneficiary of a Confidentiality Order granted under section	Address ††	25 Broad Highway		
723B of the Companies Act 1985				
otherwise, give your usual residential address. In the case	Post town	Cobham		
of a corporation or Scottish firm, give the registered or principal	County / Region	Surrey UK Postcode K T 1 1 2 R R		
office address.	Country	Nationality British		
Business occupation		Investment Banker		
* Voluntary details.				
Nam	e * Style / Title	_Mr		
<b>Directors</b> In the case of a director that is a		Day Month Year		
corporation or a Scottish firm, the name is the corpo-	Date of birth	[2 [4/[1 [2/[1 [9 [5 [6		
rate or firm name.  †† Tick this box if the	Forename(s)	Christopher Paul		
address shown is a service address for	Surname	Rowlands		
the beneficiary of a Confidentiality Order granted under section	Address <sup>††</sup>	67 Chelsea Vista, Imperial Wharf		
723B of the Companies Act 1985 otherwise, give your		Townmead Road		
usual residential address. In the case of a corporation or	Post town	London		
Scottish firm, give the registered or principal office address.	County / Region	UK Postcode S W 6 L 2 S D		
	Country	Nationality British		

Banker

**Business occupation** 

<b>Directors</b> Please list directors in	alphabetical order.	Details of new directors must be notified on form 288a
	lame * Style / Title	<sub>L</sub> Mr
<b>Directors</b> In the case of a director that is a	e	Day Month Year
Scottish firm, the name is the corpo	Date of birth	1 4 10 5 1 9 6 0
rate or firm name.	Forename(s)	Jonathan Brian Cameron
tt Tick this box if the address shown is a service address for	Surname	Russell
the beneficiary of a Confidentiality Order	Address **	The Bothy, Crawley
granted under section 723B of the Companies Act 1985		Winchester
otherwise, give your usual residential address. In the case of a corporation or	Post town	
Scottish firm, give the registered or principal office address.	County / Region	
	Country	Nationality British
E	Business occupation	Investment Capitalist
* Voluntary details.		
N	lame * Style / Title	Mr
<b>Directors</b> In the case of a director that is a corporation or a	a	Day Month Year
Scottish firm, the name is the corporate or firm name.	Date of birth	$\begin{array}{c ccccccccccccccccccccccccccccccccccc$
tt Tick this box if the	Forename(s)	Andrew Jonathan Mark
address shown is a service address for	Surname	Taylor
the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985	Address ††	c/o 3i Group plc
		16 Palace Street
otherwise, give your usual residential address. In the case of a corporation or	Post town	London
Scottish firm, give the registered or principal office address.	County / Region	UK Postcode S W 1 E 5 J D
	Country	Nationality British
E	Business occupation	Venture Capitalist

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1	Company Number	397156
Com	pany Name in full	3i plc
* Voluntary details		1
Company Secre	etary	
(Please photocopy this area to provide details of joint sec- retaries).	Name * Style / Title	Details of a new company secretary must be notified on form 288a
If a partnership give the names and addresses of the part-	Forename(s)	
ners or the name of the partnership and office address.	Surname	
th Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.	Address ††  Post town  County / Region  Country	UK Postcode
Directors		Details of new directors must be notified on form 288a
Please list directors in		M-
<b>Directors</b> In the case of a director that is a corporation or a Scottish firm, the name is the corpo-	Otyle / File	Day Month Year
rate or firm name.	Forename(s)	Paul
tt Tick this box if the address shown is a service address for	Surname	Waller
the beneficiary of a Confidentiality Order granted under section	Address ††	The Spinney
723B of the Companies Act 1985		16 Pilgrims Way
otherwise, give your usual residential address. In the case	Post town	Guildford
of a corporation or Scottish firm, give the registered or principal	County / Region	Surrey UK Postcode G U 4 B A D
office address.  Country Nationality British		Nationality British
	Business occupation	Banker
04/02		. page 1

<b>Directors</b> Please list directors in alphabetical order.		Details of new directors n	nust be notified on form 288a
<b>Directors</b> In the case	lame * Style / Title	Mr	
of a director that is a corporation or a Scottish firm, the name is the corpo- rate or firm name.	Date of birth	Day Month Yes	7 0 0
	Forename(s)	Steven	
<sup>††</sup> Tick this box if the address shown is a service address for	Surname		
the beneficiary of a Confidentiality Order granted under section	Address <sup>††</sup>	Eden Court House	
723B of the Companies Act 1985		74 Ember Lane	
otherwise, give your usual residential address. In the case	Post town	Esher	
of a corporation or Scottish firm, give the registered or principal	County / Region	Surrey	UK Postcode K T 1 0 8 E N
office address.	Country		Nationality British
	Business occupation	Accountant	
* Voluntary details.	<b>lame</b> * Style / Title	Mr	
<b>Directors</b> In the case of a director that is a	•	Day Month Yea	ar
corporation or a Scottish firm, the name is the corpo-	Date of birth		
rate or firm name.	Forename(s)	Philip Edward	
†† Tick this box if the address shown is a service address for	Surname	Yea	
the beneficiary of a Confidentiality Order granted under section	Address <sup>††</sup>	3 The Courtyard	
723B of the Companies Act 1985		27 Farm Street	
otherwise, give your usual residential address. In the case	Post town	London	
of a corporation or Scottish firm, give the registered or principal	County / Region		UK Postcode W 1 J 5 R H
office address.	Country		Nationality British
!	Business occupation	Accountant	

### 3i plc

Particulars of shareholdings - Companies Act 1985, schedule 5 - 31 March 2006 (Subsidiary of 3i Group plc -> Not required to prepare Group accounts -> Part I applies)

## Subsidiaries:

Name	Class of Share	Percentage of class held	Country of Registration
3i Investments plc	Ords £1	100	England
Investors in Industry Ltd	Ords £1	100	England
<u></u>			

#### Investments:

Name	Class of Share	Percentage of class held	Country of Registration
Brightshaw Ltd	8% Cum Preference Shares of £1	100	England
Howard Anderson Ltd	12% Red Cum Preference Shares of £1	100	England
K2 Group Ltd	1% B Preference Shares of £1	100	England
K2 Group Ltd	11% Preference Shares of £1	100	England
Land Instruments International Ltd	16% Cum Preference Shares of £1	93	England
Ord Brough & Collins Ltd	12% Cum Preference Shares of £1	67	England
Greenshires Group Ltd	265% Irre Preference Shares of £1	80	England
I G Holdings Ltd	11.5% A Red Cum Preference Shares of £	148	England
Vacu-Lug Traction Tyres Ltd	7% Cum Preference Shares of £1	100	England
Roomservice Holdings Ltd	9.5% Redeemable Preference Shares of £	80	England
Viewcode Limited	20% A Red Cum Preference Shares of 50	99	England
Hampton Park Ltd	C Preference Shares of £1	50	England



#### List of past and present shareholders Schedule to form 363a

Company Number	397156
Company Name in full	3i plc

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following incorporation;
  - Every third annual return after a full list has been provided
- ➤ List the company shareholders in alphabetical order or provide an index
- ➤ List joint shareholders consecutively

	Class and	Shares or amount of stock transferred (if appropriate)		
Shareholders' details	number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer	
Name 3i Holdings plc	£1 Shares 109999,800			
Address  16 Palace Street				
[London				
UK Postcode S W L E 5 J D				
Name  [Gardens Nominees Limited]  Address  [16 Palace Street]  [London]	£1 Shares 100			
UK Postcode S W L E 5 J D				
Name  L3i Nippon Limited  Address  L6 Palace Street  London  UK Postcode  SWLLESJLD	£1 Shares 100			

Issued share capital Enter details of all the shares in issue at the date of this return.	er details of all the shares in issue		Aggregate Nominal Value (i.e Number of shares issued multiplied by nominal value per share, or total amount of stock)	
	£1 Shares	110000000	£110000000	
	<u> </u>			
	Totals	110000000	£110,000,000	
List of past and present shareholders (Use attached schedule where appropriate) A full list is required if one was not included with either of the last two returns.	There were no changes in	n the period on paper	in another format	
	A list of changes is enclosed A full list of shareholders i			
Certificate Signed	I certify that the information knowledge and belief.	n given in this return	·	
† Please delete as appropriate	† a director / secretary			
When you have signed the return send if with the fee to the Registrar of Companies. Cheques should be made payable to Companies House.	i nis return includes	2 col	ntinuation sheets.	
You do not have to give any contact information in the box opposite but if	16 Palace Street			
you do, it will help Companies House to contact you if there is a query on the form. The contact information that you	London			
give will be visible to searchers of the public record.	SW1E 5JD	_ Tel		
	DX number l	DX exchange <sub>I</sub>		