



**Companies House**  
— for the record —

**AR01** (ef)

**Annual Return**



Received for filing in Electronic Format on the: **20/04/2011**

**XF4NSTG4**

*Company Name:* **LAZARD & CO., LIMITED**

*Company Number:* **00162175**

*Date of this return:* **20/04/2011**

*SIC codes:* **6512**

*Company Type:* **Private company limited by shares**

*Situation of Registered Office:* **50 STRATTON STREET  
LONDON  
W1J 8LL**

**Officers of the company**

## *Company Secretary 1*

*Type:* **Person**  
*Full forename(s):* **MR ROBERT JAMIESON**

*Surname:* **FARRER-BROWN**

*Former names:*

*Service Address:* **16 BROOKSBY STREET  
LONDON  
N1 1HA**

*Company Director*    **1**

*Type:*                      **Person**

*Full forename(s):*        **MR ROBERT JAMIESON**

*Surname:*                **FARRER-BROWN**

*Former names:*

*Service Address:*        **16 BROOKSBY STREET  
LONDON  
N1 1HA**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **17/12/1968**                      *Nationality:*    **BRITISH**

*Occupation:*    **GENERAL COUNSEL**

*Company Director*    **2**

*Type:*                      **Person**

*Full forename(s):*        **MR PAUL ARTHUR**

*Surname:*                **GISMONDI**

*Former names:*

*Service Address recorded as Company's registered office*

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **08/11/1955**                      *Nationality:*    **US / UK**

*Occupation:*        **INVESTMENT BANKER**

-----

*Company Director*    **3**

*Type:*                            **Person**

*Full forename(s):*            **MR JEFFREY ALLEN**

*Surname:*                      **ROSEN**

*Former names:*

*Service Address:*            **30 ROCKEFELLER PLAZA  
NEW YORK  
NY 10020  
UNITED STATES OF AMERICA**

*Country/State Usually Resident:*    **USA**

*Date of Birth:*    **01/01/1952**                            *Nationality:*    **AMERICAN**

*Occupation:*    **INVESTMENT BANKER**

-----

*Company Director* 4

*Type:* **Person**

*Full forename(s):* **MR ROBERT JAMES**

*Surname:* **ROUNTREE**

*Former names:*

*Service Address:* **95 ALLEE DU LAC INFERIEUR  
LE VESINET  
78110  
FRANCE**

*Country/State Usually Resident:* **FRANCE**

*Date of Birth:* **04/04/1959** *Nationality:* **BRITISH**

*Occupation:* **CHARTERED ACCOUNTANT**

---

*Company Director*    **5**

*Type:*                      **Person**

*Full forename(s):*        **WILLIAM JOHN**

*Surname:*                **RUCKER**

*Former names:*

*Service Address:*        **32 BASKERVILLE ROAD  
WANDSWORTH  
LONDON  
SW18 3RS**

*Country/State Usually Resident:*    **ENGLAND**

*Date of Birth:*    **18/06/1963**                      *Nationality:*    **BRITISH**

*Occupation:*    **MERCHANT BANKER**

*Company Director*    **6**

*Type:*                            **Person**

*Full forename(s):*            **MR NICHOLAS ROLAND**

*Surname:*                      **SHOTT**

*Former names:*

*Service Address:*            **THE MANOR HOUSE  
HILL DEVERILL  
WARMINSTER  
WILTSHIRE  
BA12 7EQ**

*Country/State Usually Resident:*    **UNITED KINGDOM**

*Date of Birth:*    **22/09/1951**                            *Nationality:*    **BRITISH**

*Occupation:*    **MERCHANT BANKER**



## Statement of Capital (Share Capital)

<b>Class of shares</b>	<b>DEFERRED</b>	<i>Number allotted</i>	<b>5000000</b>
		<i>Aggregate nominal value</i>	<b>5000000</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

ALL SHARES ISSUED ARE NON-REDEEMABLE AND RANK EQUALLY IN TERMS OF (A) VOTING RIGHTS - ONE VOTE FOR EACH SHARE; (B) RIGHTS TO PARTICIPATE IN ALL APPROVED DIVIDEND DISTRIBUTIONS FOR THAT CLASS OF SHARE; AND (C) RIGHTS TO PARTICIPATE IN ANY CAPITAL DISTRIBUTION ON WINDING UP.

<b>Class of shares</b>	<b>ORDINARY</b>	<i>Number allotted</i>	<b>27803222</b>
		<i>Aggregate nominal value</i>	<b>27803222</b>
<i>Currency</i>	<b>GBP</b>	<i>Amount paid per share</i>	<b>1</b>
		<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

ALL SHARES ISSUED ARE NON-REDEEMABLE AND RANK EQUALLY IN TERMS OF (A) VOTING RIGHTS - ONE VOTE FOR EACH SHARE; (B) RIGHTS TO PARTICIPATE IN ALL APPROVED DIVIDEND DISTRIBUTIONS FOR THAT CLASS OF SHARE; AND (C) RIGHTS TO PARTICIPATE IN ANY CAPITAL DISTRIBUTION ON WINDING UP.

<b>Class of shares</b>	<b>SPECIAL RIGHTS</b>	<i>Number allotted</i>	<b>1</b>
	<b>REDEEMABLE</b>	<i>Aggregate nominal value</i>	<b>1</b>
<i>Currency</i>	<b>PREFERENCE</b>	<i>Amount paid per share</i>	<b>1</b>
	<b>GBP</b>	<i>Amount unpaid per share</i>	<b>0</b>

### *Prescribed particulars*

ALL SHARES ISSUED ARE NON-REDEEMABLE AND RANK EQUALLY IN TERMS OF (A) VOTING RIGHTS - ONE VOTE FOR EACH SHARE; (B) RIGHTS TO PARTICIPATE IN ALL APPROVED DIVIDEND DISTRIBUTIONS FOR THAT CLASS OF SHARE; AND (C) RIGHTS TO PARTICIPATE IN ANY CAPITAL DISTRIBUTION ON WINDING UP.

## Statement of Capital (Totals)

<i>Currency</i>	<b>GBP</b>	<i>Total number of shares</i>	<b>32803223</b>
		<i>Total aggregate nominal value</i>	<b>32803223</b>

## *Full Details of Shareholders*

The details below relate to individuals / corporate bodies that were shareholders as at 20/04/2011 or that had ceased to be shareholders since the made up date of the previous Annual Return

*A full list of shareholders for a private or non-traded public company are shown below*

*Shareholding 1* : **27803222 ORDINARY shares held as at 2011-04-20**

*Name:* LAZARD & CO., HOLDINGS LIMITED

*Shareholding 2* : **5000000 DEFERRED shares held as at 2011-04-20**

*Name:* LAZARD & CO., HOLDINGS LIMITED

*Shareholding 3* : **1 SPECIAL RIGHTS REDEEMABLE PREFERENCE shares held as at 2011-04-20**

*Name:* LAZARD & CO., HOLDINGS LIMITED

---

## *Authorisation*

*Authenticated*

*This form was authorised by one of the following:*

Director, Secretary, Person Authorised, Charity Commission Receiver and Manager, CIC Manager, Judicial Factor.