

BLUEPRINT

2000

AMEND

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

162175

Company Name in full

Lazard & Co., Limited

Date of this return

The information in this return is made up
to

Day		Month		Year		
2	0	0	4	2	0	7

Date of next return

If you wish to make your next return to a
date earlier than the anniversary of this
return please show the date here.

Companies House will then send a form
at the appropriate time.

Day		Month		Year		

Registered Office

Show here the address at the date of
this return.

50 Stratton Street

Any change of
registered office **must**
be notified on form
287.

Post town

London

County / Region

UK Postcode

W1J 8LL

Principal business activities

Show trade classification code number(s)
for the principal activity or activities.

6512

If the code number cannot be determined,
give a brief description of principal
activity.

Merchant Bank

WEDNESDAY



PC1

PFNDBA7W

27/05/2009

318

COMPANIES HOUSE

When you have completed and signed the form please send it to the
Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ
for companies registered in England and Wales

DX 33050 Cardiff

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

* Voluntary details.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Mr

Forename(s)

Dicken

Surname

Watson

Address ††

581 Manchester Road

Post town

London

County / Region

London

UK Postcode

E14 3NU

Country

United Kingdom

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	1	8	0	4
	1	9	7	2
Forename(s)	Victoria			
Surname	Cole			
<input type="checkbox"/> Address ††	Flat 6, 8-9 Rose and Crown Yard			
Post town	London			
County / Region	London		UK Postcode	SW1Y 6RE
Country	United Kingdom		Nationality	British
Business occupation	Chief Operating Officer			

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title			
	Day	Month	Year	
Date of birth	1	1	0	9
	1	9	6	0
Forename(s)	Peter Anthony			
Surname	Kiernan			
<input type="checkbox"/> Address ††	2 Larpent Avenue			
Post town	London			
County / Region			UK Postcode	SW15 6UP
Country	UK		Nationality	British
Business occupation	Investment banker			

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Mr

Day Month Year

Date of birth

2 3 0 3 1 9 5 6

Forename(s)

Andrew John Bower

Surname

Mitchell

☐

Address ††

30 Gibson Square

Post town

London

County / Region

UK Postcode

N1 0RD

Country

United Kingdom

Nationality

British

Business occupation

Merchant Banker

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Mr

Day Month Year

Date of birth

0 4 0 4 1 9 5 9

Forename(s)

Robert James

Surname

Rountree

☐

Address ††

95 allée du Lac Inférieur

Post town

Le Vésinet

County / Region

UK Postcode

78110

Country

France

Nationality

British

Business occupation

Chartered Accountant

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	1 8	0 6	1 9 6 3
Forename(s)	William John		
Surname	Rucker		
<input type="checkbox"/> Address ††	32 Baskerville Road, Wandsworth		
Post town	London		
County / Region		UK Postcode	SW18 3RS
Country	UK	Nationality	British
Business occupation	Investment Banker		

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	Mr	
	Day	Month	Year
Date of birth	2 2	0 9	1 9 5 1
Forename(s)	Nicholas Roland		
Surname	Shott		
<input type="checkbox"/> Address ††	The Manor House, Hill Deverill		
Post town	Warminster		
County / Region	Wiltshire	UK Postcode	BA12 7EQ
Country	United Kingdom	Nationality	British
Business occupation	Merchant Banker		

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name	* Style / Title	Mr	
		Day	Month
		Year	
	Date of birth	0	9
		0	6
		1	9
		5	2
	Forename(s)	Charles Gould	
	Surname	Ward III	
<input type="checkbox"/>	Address ††	One East End Avenue, Apartment 10/11B	
	Post town	New York	
	County / Region	New York	UK Postcode 10021
	Country	United States	Nationality USA
	Business occupation	Investment Banker	

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
	Date of birth	1	5
		1	1
		1	9
		6	3
	Forename(s)	Peter David	
	Surname	Warner	
<input type="checkbox"/>	Address ††	28 High Street, Blakesley	
	Post town	Towcester	
	County / Region	Northants	UK Postcode NN12 8RE
	Country	United Kingdom	Nationality British
	Business occupation	Investment Banker	

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule		
Totals		

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format


A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

21/05/09

† Please delete as appropriate.

† a director / ~~secretary~~

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

23

(enter number)

continuation sheets.

Shelley Love, 50 Stratton Street, London, W1J 8LL,

United Kingdom

Tel 020 7187 2215

DX number DX exchange

BLUEPRINT

2000

2000

CHFP010

Company Number | 162175

Company Name in full | Lazard & Co., Limited

Currency | Pounds Sterling

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)

Number of shares
issued

Aggregate Nominal Value

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

DEFERRED	5,000,000	£5,000,000.00
ORDINARY	27,803,222	£27,803,222.00
SPECIAL RIGHTS REDEEMABLE PREFERENCE	0	£0.00
Totals	32,803,222	£32,803,222.00

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List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard & Co., Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited Address 50 Stratton Street, London, United Kingdom UK postcode W1J 8LL	£1.00 DEFERRED Shares Held 5,000,000		
Name Address UK postcode			
Name Address UK postcode			

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List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard & Co., Limited

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- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited <hr/> Address 50 Stratton Street, London, United Kingdom <hr/> UK postcode W1J 8LL	£1.00 ORDINARY Shares Held 27,803,222		
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			