

BLUEPRINT

2000

AMEND

363a

Please complete in typescript,
or in bold black capitals.

Annual Return

CHFP010

Company Number

162175

Company Name in full

Lazard & Co., Limited

Date of this return

The information in this return is made up to

Day		Month		Year			
2	0	0	4	2	0	0	5

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.

Companies House will then send a form at the appropriate time.

Day		Month		Year			

Registered Office

Show here the address at the date of this return.

50 Stratton Street

Any change of registered office must be notified on form 287.

Post town

London

County / Region

UK Postcode

W1J 8LL

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6512

If the code number cannot be determined, give a brief description of principal activity.

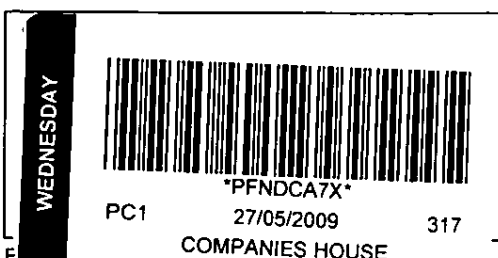
Merchant Bank

When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ DX 33050 Cardiff
for companies registered in England and Wales

or
Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB
for companies registered in Scotland

DX 235 Edinburgh



Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Mr

Forename(s)

William David Wesley

Surname

Dennis

Address ††

Hall Farm, Swanworth Lane, Mickleham

Post town

Dorking

County / Region

Surrey

UK Postcode

RH5 6DY

Country

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Mr

Day Month Year

Date of birth

2 2 0 7 1 9 4 6

Forename(s)

Marcus Ambrose Paul

Surname

Agius

☒

Address ††

130 Wilton Road

Post town

London

County / Region

UK Postcode

SW1V 1LQ

Country

United Kingdom

Nationality

British

Business occupation

Investment Banker

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

* Style / Title

Mr

Day Month Year

Date of birth

2 2 0 7 1 9 5 2

Forename(s)

William David Wesley

Surname

Dennis

☐

Address ††

Hall Farm, Swanworth Lane, Mickleham

Post town

Dorking

County / Region

Surrey

UK Postcode

RH5 6DY

Country

Nationality

British

Business occupation

Lawyer

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
	Date of birth	2	4
		1	1
		1	9
		5	7
	Forename(s)	James Victor	
	Surname	Hansford	
<input type="checkbox"/>	Address ††	24 b Old Farm Road	
	Post town	Darien	
	County / Region	Connecticut	UK Postcode 06820
	Country	United States of America	Nationality British
	Business occupation	Banker/Chartered Accountant	

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title		
		Day	Month
		Year	
	Date of birth	1	1
		0	9
		1	9
		6	0
	Forename(s)	Peter Anthony	
	Surname	Kiernan	
<input type="checkbox"/>	Address ††	2 Larpent Avenue	
	Post town	London	
	County / Region		UK Postcode SW15 6UP
	Country	UK	Nationality British
	Business occupation	Investment banker	

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title			
	Day	Month	Year	
Date of birth	0	7	0	6
			1	9
			6	9
Forename(s)	Christopher Miles			
Surname	Oglethorpe			
<input type="checkbox"/> Address ††	23 Newfield Way			
Post town	St Albans			
County / Region	Herts		UK Postcode	AL4 0GB
Country	UK		Nationality	English
Business occupation	Investment banker			

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title			
	Day	Month	Year	
Date of birth	1	8	0	6
			1	9
			6	3
Forename(s)	William John			
Surname	Rucker			
<input type="checkbox"/> Address ††	32 Baskerville Road, Wandsworth			
Post town	London			
County / Region			UK Postcode	SW18 3RS
Country	UK		Nationality	British
Business occupation	Investment Banker			

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
	Date of birth	2	2
		0	9
		1	9
		5	1
	Forename(s)	Nicholas Roland	
	Surname	Shott	
<input type="checkbox"/>	Address ††	The Manor House, Hill Deverill	
	Post town	Warminster	
	County / Region	Wiltshire	UK Postcode
			BA12 7EQ
	Country	United Kingdom	Nationality
			British
	Business occupation	Merchant Banker	

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Ms	
		Day	Month
		Year	
	Date of birth	3	0
		0	5
		1	9
		6	5
	Forename(s)	Carolyn	
	Surname	Sims	
<input type="checkbox"/>	Address ††	15 Ridgmont Road	
	Post town	St Albans	
	County / Region	Herts	UK Postcode
			AL1 3AG
	Country	UK	Nationality
			British
	Business occupation	Chartered Accountant	

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
	Day	Month	Year
	0	9	0 6 1 9 5 2
	Date of birth		
	Forename(s)	Charles Gould	
	Surname	Ward III	
<input type="checkbox"/>	Address ††	37 Oregon Road, Bedford Corners	
	Post town	New York	
	County / Region		UK Postcode NY 10545
	Country	United States	Nationality USA
	Business occupation	Investment Banker	

* Voluntary details.

Directors In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
	Day	Month	Year
	1	5	1 1 1 9 6 3
	Date of birth		
	Forename(s)	Peter David	
	Surname	Warner	
<input type="checkbox"/>	Address ††	28 High Street, Blakesley	
	Post town	Towcester	
	County / Region	Northants	UK Postcode NN12 8RE
	Country	United Kingdom	Nationality British
	Business occupation	Investment Banker	

Issued share capital

Enter details of all the shares in issue at the date of this return.

Class
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule		
Totals		

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☐

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

21/05/09

† Please delete as appropriate.

† a director / ~~secretary~~

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes

4

(enter number)

continuation sheets.

Joanna Capon, 50 Stratton Street, London, W1J 8LL,

United Kingdom

Tel

BLUEPRINT

2000

DX number DX exchange

Issued share capital Schedule to form 363a

CHFP010

Company Number | 162175

Company Name in full | Lazard & Co., Limited

Currency | Pounds Sterling

Issued share capitalEnter details of all the shares in issue
at the date of this return.
Class
(e.g. Ordinary/Preference)

**Number of shares
issued**
**Aggregate Nominal
Value**
(i.e. Number of shares issued
multiplied by nominal value per
share, or total amount of stock)

DEFERRED	5,000,000	£5,000,000.00
ORDINARY	27,803,222	£27,803,222.00
SPECIAL RIGHTS REDEEMABLE PREFERENCE	0	£0.00
Totals	32,803,222	32,803,222.00

List of past and present shareholders

Schedule to form 363a

CHFP010

Company Number

162175

Company Name in full

Lazard & Co., Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- List the company shareholders in alphabetical order or provide an index
- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred <i>(if appropriate)</i>		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited <hr/> Address 50 Stratton Street, London, United Kingdom <hr/> UK postcode W1J 8LL	£1.00 DEFERRED Shares Held 5,000,000		
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			

List of past and present shareholders
Schedule to form 363a

CHFP010

Company Number | 162175

Company Name in full | Lazard & Co., Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
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- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred <i>(if appropriate)</i>		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited Address 50 Stratton Street, London, United Kingdom UK postcode W1J 8LL	£1.00 ORDINARY Shares Held 27,803,222		
Name Address UK postcode			
Name Address UK postcode			

List of past and present shareholders

Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard & Co., Limited

- Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
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- List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited <hr/> Address 50 Stratton Street, London, United Kingdom <hr/> UK postcode W1J 8LL	£1.00 SPECIAL RIGHTS REDEEMABLE PREFERENCE Shares Held 0	1	22/12/2004
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			