

AMEND

BLUEPRINT

2000

363a

Please complete in typescript,  
or in bold black capitals.

## Annual Return

CHFP010

Company Number

162175

Company Name in full

Lazard & Co., Limited

### Date of this return

The information in this return is made up to

Day		Month		Year	
2	0	0	4	2	0
					6

### Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.

Companies House will then send a form at the appropriate time.

Day		Month		Year	

### Registered Office

Show here the address at the date of this return.

50 Stratton Street

Any change of  
registered office **must**  
be notified on form  
287.

Post town

London

County / Region

UK Postcode

W1J 8LL

### Principal business activities

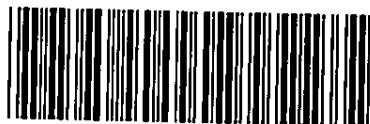
Show trade classification code number(s) for the principal activity or activities.

6512

If the code number cannot be determined, give a brief description of principal activity.

Merchant Bank

WEDNESDAY



PC1 27/05/2009 325

COMPANIES HOUSE

Form April 2002

When you have completed and signed the form please send it to the Registrar of Companies at:

**Companies House, Crown Way, Cardiff, CF14 3UZ**

**DX 33050 Cardiff**

for companies registered in England and Wales

or

**Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB**

for companies registered in Scotland

**DX 235 Edinburgh**

**Register of members**

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

**Register of Debenture holders**

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

**Company type**

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐☐

Please tick the appropriate box

**Company Secretary**

(Please photocopy this area to provide details of joint secretaries).

\* Voluntary details.

Name

\* Style / Title

Ms

Forename(s)

Claire

Surname

Nightingale

Address ††

6 Stanley Road

Post town

East Sheen

County / Region

London

UK Postcode

SW14 7DZ

Country

UK

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Details of a new company secretary must be notified on form 288a.

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

†† Tick this box if the address shown is a service address for the beneficiary of a Confidentiality Order granted under section 723B of the Companies Act 1985 otherwise, give your usual residential address. In the case of a corporation or Scottish firm, give the registered or principal office address.

Name

\* Style / Title

Mr

Day Month Year

Date of birth

2 2 0 7 1 9 4 6

Forename(s)

Marcus Ambrose Paul

Surname

Agius

X

Address ††

130 Wilton Road

Post town

London

County / Region

UK Postcode

SW1V 1LQ

Country

United Kingdom

Nationality

British

Business occupation

Investment Banker

\* Voluntary details.

Name

\* Style / Title

Day Month Year

Date of birth

1 1 0 9 1 9 6 0

Forename(s)

Peter Anthony

Surname

Kiernan

Address ††

2 Larpent Avenue

Post town

London

County / Region

UK Postcode

SW15 6UP

Country

UK

Nationality

British

Business occupation

Investment banker

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**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		2	5
		0	6
		1	9
		7	0
Forename(s)	Christopher Patrick		
Surname	Leonard		
<input type="checkbox"/> Address ††	51D Lammas Park Road		
Post town	Ealing		
County / Region	London	UK Postcode	W5 5JD
Country	United Kingdom	Nationality	British
Business occupation	Company Director		

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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Name	* Style / Title	Mr	
		Day	Month
		Year	
Date of birth		2	3
		0	3
		1	9
		5	6
Forename(s)	Andrew John Bower		
Surname	Mitchell		
<input type="checkbox"/> Address ††	30 Gibson Square		
Post town	London		
County / Region		UK Postcode	N1 0RD
Country	United Kingdom	Nationality	British
Business occupation	Merchant Banker		

**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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<b>Name</b>	<b>* Style / Title</b>	Mr	
		Day	Month
		Year	
Date of birth		1	8
		0	6
		1	9
		6	3
Forename(s)	William John		
Surname	Rucker		
<input type="checkbox"/> Address ††	32 Baskerville Road, Wandsworth		
Post town	London		
County / Region		UK Postcode	SW18 3RS
Country	UK	Nationality	British
Business occupation	Investment Banker		

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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<b>Name</b>	<b>* Style / Title</b>	Mr	
		Day	Month
		Year	
Date of birth		2	2
		0	9
		1	9
		5	1
Forename(s)	Nicholas Roland		
Surname	Shott		
<input type="checkbox"/> Address ††	The Manor House, Hill Deverill		
Post town	Warminster		
County / Region	Wiltshire	UK Postcode	BA12 7EQ
Country	United Kingdom	Nationality	British
Business occupation	Merchant Banker		

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**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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<b>Name</b>	<b>* Style / Title</b>	Ms	
		Day	Month
		Year	
<b>Date of birth</b>		3	0
		0	5
		1	9
		6	5
<b>Forename(s)</b>	Carolyn		
<b>Surname</b>	Sims		
<input type="checkbox"/> <b>Address ††</b>	15 Ridgmont Road		
<b>Post town</b>	St Albans		
<b>County / Region</b>	Herts	<b>UK Postcode</b>	AL1 3AG
<b>Country</b>	UK	<b>Nationality</b>	British
<b>Business occupation</b>	Chartered Accountant		

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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<b>Name</b>	<b>* Style / Title</b>	Mr	
		Day	Month
		Year	
<b>Date of birth</b>		0	9
		0	6
		1	9
		5	2
<b>Forename(s)</b>	Charles Gould		
<b>Surname</b>	Ward III		
<input type="checkbox"/> <b>Address ††</b>	37 Oregon Road, Bedford Corners		
<b>Post town</b>	New York		
<b>County / Region</b>		<b>UK Postcode</b>	NY 10545
<b>Country</b>	United States	<b>Nationality</b>	USA
<b>Business occupation</b>	Investment Banker		

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**Directors**

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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<b>Name</b>	* Style / Title		Mr	
	Day	Month	Year	
Date of birth	1	5	1	1
			1	9
			6	3
Forename(s)	Peter David			
Surname	Warner			
<input type="checkbox"/> Address ††	28 High Street, Blakesley			
Post town	Towcester			
County / Region	Northants		UK Postcode	NN12 8RE
Country	United Kingdom		Nationality	British
Business occupation	Investment Banker			

\* Voluntary details.

**Directors** In the case of a director that is a corporation or a Scottish firm, the name is the corporate or firm name.

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<b>Name</b>	* Style / Title			
	Day	Month	Year	
Date of birth				
Forename(s)				
Surname				
<input type="checkbox"/> Address ††				
Post town				
County / Region			UK Postcode	
Country			Nationality	
Business occupation				

**Issued share capital**

Enter details of all the shares in issue at the date of this return.

**Class**  
(e.g. Ordinary/Preference)**Number of shares issued****Aggregate Nominal Value**

(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)

See attached schedule		
<b>Totals</b>		

**List of past and present shareholders**

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

**Signed****Date**

21/05/06


† Please delete as appropriate.

† a director / ~~secretary~~

When you have signed the return send it with the fee to the Registrar of Companies. Cheques should be made payable to **Companies House**.

You do not have to give any contact information in the box opposite but if you do, it will help Companies House to contact you if there is a query on the form. The contact information that you give will be visible to searchers of the public record.

This return includes



(enter number)

continuation sheets.

Joanna Capon, 50 Stratton Street, London, W1J 8LL,

United Kingdom

Tel

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DX number

DX exchange



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# Issued share capital Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard &amp; Co., Limited

Currency Pounds Sterling

## Issued share capital

Enter details of all the shares in issue  
at the date of this return.

Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
DEFERRED	5,000,000	£5,000,000.00
ORDINARY	27,803,222	£27,803,222.00
SPECIAL RIGHTS REDEEMABLE PREFERENCE	0	£0.00
Totals	32,803,222	32,803,222.00

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## List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 162175

Company Name in full | Lazard &amp; Co., Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Shares or amount of stock transferred (if appropriate)		
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited <hr/> Address 50 Stratton Street, London, United Kingdom <hr/> UK postcode   W1J 8LL	£1.00 DEFERRED    Shares Held 5,000,000		
Name <hr/> Address <hr/> UK postcode			
Name <hr/> Address <hr/> UK postcode			

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# List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard &amp; Co., Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
  - The company's first annual return following the incorporation;
  - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details		Shares or amount of stock transferred (if appropriate)	
	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Holdings Limited  Address 50 Stratton Street, London, United Kingdom  UK postcode W1J 8LL	£1.00 ORDINARY    Shares Held 27,803,222		
Name  Address  UK postcode			
Name  Address  UK postcode			