

*Please complete in typescript,
or in bold black capitals.*

Annual Return

CHFP010

Company Number

162175

Company Name in full

Lazard & Co., Limited

Date of this return

The information in this return is made up to

Day		Month		Year		
2	0	0	4	2	0	0
						3

Date of next return

If you wish to make your next return to a date earlier than the anniversary of this return please show the date here.

Companies House will then send a form at the appropriate time.

Day		Month		Year		

Registered Office

Show here the address at the date of this return.

21 Moorfields

Any change of registered office **must** be notified on form 287.

Post town

London

County / Region

UK Postcode

EC2P 2HT

Principal business activities

Show trade classification code number(s) for the principal activity or activities.

6523

If the code number cannot be determined, give a brief description of principal activity.

Merchant Bank



When you have completed and signed the form please send it to the Registrar of Companies at:

Companies House, Crown Way, Cardiff, CF14 3UZ

DX 33050 Cardiff

for companies registered in England and Wales

or

Companies House, 37 Castle Terrace, Edinburgh, EH1 2EB

for companies registered in Scotland

DX 235 Edinburgh

Register of members

If the register of members is not kept at the registered office, state here where it is kept.

Post town

County / Region

UK Postcode

Register of Debenture holders

If there is a register of debenture holders, or a duplicate of any such register or part of it, which is not kept at the registered office, state where it is kept.

Post town

County / Region

UK Postcode

Company type

Public limited company

Private company limited by shares

Private company limited by guarantee without share capital

Private company limited by shares exempt under section 30

Private company limited by guarantee exempt under section 30

Private unlimited company with share capital

Private unlimited company without share capital

☐☒☐☐☐☐☐

Please tick the appropriate box

Company Secretary

Details of a new company secretary must be notified on form 288a.

(Please photocopy this area to provide details of joint secretaries).

Name

* Style / Title

Mr

Forename(s)

William David Wesley

Surname

Dennis

Address

Hall Farm, Swanworth Lane, Mickleham ✓

If a partnership give the names and addresses of the partners or the name of the partnership and office address.

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town

Dorking

County / Region

Surrey

UK Postcode

RH5 6DY

Country

Directors*Please list directors in alphabetical order.***Details of new directors must be notified on form 288a**

Name * Style / Title | Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

 Day Month Year
 Date of birth | 2 | 2 | 0 | 7 | 1 | 9 | 4 | 6

Forename(s) | Marcus Ambrose Paul

Surname | Agius

Address | 7 South Terrace

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town | London

County / Region | UK Postcode | SW7 2TB

Country | Nationality | British

Business occupation | Merchant Banker

* Voluntary details.

Name * Style / Title | Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

 Day Month Year
 Date of birth | 2 | 2 | 0 | 7 | 1 | 9 | 5 | 2

Forename(s) | William David Wesley

Surname | Dennis

Address | Hall Farm, Swanworth Lane, Mickleham

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town | Dorking

County / Region | Surrey UK Postcode | RH5 6DY

Country | Nationality | British

Business occupation | Lawyer

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title | Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

 Day Month Year
 Date of birth | 0 | 9 | 0 | 6 | 1 | 9 | 5 | 2

Forename(s) | Charles Gould

Surname | Ward III

Address | 21 Chester Street

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town |

County / Region | London UK Postcode | SW1X 6BL

Country | Nationality | USA

Business occupation | Investment Banker

* Voluntary details.

Name * Style / Title | Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

 Day Month Year
 Date of birth | 2 | 7 | 1 | 0 | 1 | 9 | 4 | 6

Forename(s) | Nicholas Michael Houssemayne

Surname | Jones

Address | The Manor, Coln St Denys

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town | Cheltenham

County / Region | Gloucestershire UK Postcode | GL54 3JU

Country | United Kingdom Nationality | British

Business occupation | Merchant Banker

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name

* Style / Title

Ms

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day	Month	Year
1	0	031968

Forename(s) Karen Bernice

Surname Moore

Address

17 Chiddingstone Street

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town Fulham

County / Region London

UK Postcode SW6 3TQ

Country

Nationality British

Business occupation Investment banker

* Voluntary details.

Name

* Style / Title

Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Date of birth

Day	Month	Year
1	8	061963

Forename(s) William John

Surname Rucker

Address

32 Baskerville Road, Wandsworth

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town London

County / Region

UK Postcode SW18 3RS

Country UK

Nationality British

Business occupation Merchant Banker

Directors

Please list directors in alphabetical order.

Details of new directors must be notified on form 288a

Name * Style / Title | Mr

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

 Day Month Year
 Date of birth | 0 | 1 | 0 | 3 | 1 | 9 | 5 | 8

Forename(s) | Charles Gerald Thoroton

Surname | Stonehill

Address | 124 Elgin Crescent

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town | London

County / Region | UK Postcode | W11 2JP

Country | UK Nationality | British/American

Business occupation | Investment Banker

* Voluntary details.

Name * Style / Title |

Day Month Year

Date of birth | | | | | | | |

Forename(s) |

Surname |

Directors In the case of a director that is a corporate or a Scottish firm, the name is the corporate or firm name.

Address |

Usual residential address must be given. In the case of a corporation, give the registered or principal office address.

Post town |

County / Region | UK Postcode |

Country | Nationality |

Business occupation |

Issued share capital

Enter details of all the shares in issue at the date of this return.

	Class (e.g. Ordinary/Preference)	Number of shares issued	Aggregate Nominal Value (i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)
See attached schedule			
Totals			

List of past and present shareholders

(use attached schedule where appropriate)

A full list is required if one was not included with either of the last two returns.

There were no changes in the period

☒

on paper

in another format

A list of changes is enclosed

☐☐

A full list of shareholders is enclosed

☒☐**Certificate**

I certify that the information given in this return is true to the best of my knowledge and belief.

Signed**Date**

28/4/03

† Please delete as appropriate.

† a director / secretary

When you have signed the return send it with the fee to the Registrar of Companies.
Cheques should be made payable to **Companies House.**

This return includes

6

continuation sheets.

(enter number)

Please give the name, address, telephone number and, if available, a DX number and Exchange of the person Companies House should contact if there is any query.

Miss Georgina Trevor, Lazard, 21 Moorfields, London,

EC2P 2HT

Tel

DX number

DX exchange

Issued share capital Schedule to form 363a

CHFP010 **Company Number** | 162175

Company Name in full | Lazard & Co., Limited

Currency | Pounds Sterling

Issued share capital

Enter details of all the shares in issue
at the date of this return.

	Class <i>(e.g. Ordinary/Preference)</i>	Number of shares issued	Aggregate Nominal Value <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i>
DEFERRED		5,000,000	£5,000,000.00
ORDINARY		27,803,221	£27,803,221.00
SPECIAL RIGHTS REDEEMABLE PREFERENCE		1	£1.00
Totals		32,803,222	32,803,222.00

Issued share capital Schedule to form 363a

CHFP010 **Company Number** | 162175

Company Name in full | Lazard & Co., Limited

Currency | Swiss Franc

Issued share capital

Enter details of all the shares in issue
at the date of this return.

	Class <i>(e.g. Ordinary/Preference)</i>	Number of shares issued	Aggregate Nominal Value <i>(i.e. Number of shares issued multiplied by nominal value per share, or total amount of stock)</i>
SWISS FRANC - CANCELLED 27.10.00		1,000,000	CHF1,000,000.00
Totals		1,000,000	1,000,000.00

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard & Co., Limited

- > Changes to shareholders particulars or details of the amount of stock or shares transferred must be completed each year
- > You must provide a "full list" of all the company shareholders on:
 - The company's first annual return following the incorporation;
 - Every third annual return after a full list has been provided
- > List the company shareholders in alphabetical order or provide an index
- > List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name Lazard & Co., Holdings Limited Address 21 Moorfields, London, United Kingdom UK postcode <u>EC2P 2HT</u>	£1.00 DEFERRED Shares Held 5,000,000		
Name Address UK postcode <u> </u>			
Name Address UK postcode <u> </u>			

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number | 162175

Company Name in full | Lazard & Co., Limited

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- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name Lazard & Co., Holdings Limited Address 21 Moorfields, London, United Kingdom UK postcode EC2P 2HT	£1.00 ORDINARY Shares Held 27,702,542		
Name Marehome Address 21 Moorfields, London, United Kingdom UK postcode EC2P 2HT	£1.00 ORDINARY Shares Held 100,679		
Name Address UK postcode			

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard & Co., Limited

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- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Shares or amount of stock transferred (if appropriate) Date of registration of transfer
Name Lazard & Co., Holdings Limited Address 21 Moorfields, London, United Kingdom UK postcode <u>EC2P 2HT</u>	£1.00 SPECIAL RIGHTS REDEEMABLE PREFERENCE Shares Held 1		
Name Address UK postcode <u> </u>			
Name Address UK postcode <u> </u>			

List of past and present shareholders Schedule to form 363a

CHFP010

Company Number 162175

Company Name in full Lazard & Co., Limited

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- List joint shareholders consecutively

Shareholders' details	Class and number of shares or amount of stock held	Class and number of shares or amount of stock transferred	Date of registration of transfer
Name Lazard & Co., Limited Address 21 Moorfields, London, United Kingdom UK postcode <u>EC2P 2HT</u>	CHF1.00 SWISS FRANC - CANCELLED 27.10.00 Shares Held 1,000,000	Shares or amount of stock transferred (if appropriate)	
Name Address UK postcode <u> </u>			
Name Address UK postcode <u> </u>			