

700475/40

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

700475/40

BLUEPRINT

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Please go to [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

☒ **What this form is for**  
You may use this form to confirm  
that the company information is  
correct as at the date of this return  
You must file an Annual Return at  
least once every year

☐ **What this form is NOT for**  
You cannot use this form to file  
notice of changes to the  
officers, registered office,  
company type or information  
relating to the company



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A20 \*A4A3A6S8\* 22/06/2015 #20  
COMPANIES HOUSE

A09 \*A48QRWJ4\* 02/06/2015 #239  
COMPANIES HOUSE

### Part 1

### Company details

The section must be completed by all companies

→ **Filing in this form**  
Please complete in typescript or in  
bold black capitals  
  
All fields are mandatory unless  
specified or indicated by \*

#### A1 Company details

Company number 3 7 8 2 3 7 9

Company name in full Carillion plc

① **Company name change**  
If your company has recently  
changed its name, please provide  
the company name as at the date of  
this return

#### A2 Return date

Please give the annual return made up date. The return date must not be a future  
date. The annual return must be delivered within 28 days of the date given below.

If you would like the company's made up date to be earlier than 1 October 2011,  
please complete the AR01 appropriate for earlier made up dates.

Date of this return ② d 2 d 8 m 0 m 5 y 2 y 0 y 1 y 5

② **Date of this return**  
Your company's return date  
is usually the anniversary of  
incorporation or the anniversary  
of the last annual return filed at  
Companies House. You may choose  
an earlier return date but it must not  
be a later date.

#### A3 Principal business activity

Please show the trade classification code number(s) for the principal  
activity or activities ③

Classification code 1	4	1	2	0	1
Classification code 2	4	3	9	9	9
Classification code 3	4	2	1	1	0
Classification code 4	4	3	3	9	0

If you cannot determine a code, please give a brief description of your  
business activity below

Principal activity  
description

③ **Principal business activity**  
You must provide a trade  
classification code (SIC code 2007)  
or a description of your company's  
main business in this section.

A full list of the trade classification  
codes are available on our website  
[www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

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**A4****Company type<sup>①</sup>**

Please confirm your company type by ticking the appropriate box below (only one box must be ticked)

- ☒ Public limited company
- ☐ Private company limited by shares
- ☐ Private company limited by guarantee
- ☐ Private company limited by shares exempt under section 60
- ☐ Private company limited by guarantee exempt under section 60
- ☐ Private unlimited company with share capital
- ☐ Private unlimited company without share capital

**① Company type**

If you are unsure of your company type, please check your latest certificate of incorporation or our website  
www.companieshouse.gov.uk

**A5****Registered office address<sup>②</sup>**

Building name/number Carillion House

Street 84 Salop Street

Post town Wolverhampton

County/Region

Postcode W V 3 0 S R

**② Change of registered office**

This must agree with the address that is held on the Companies House record at the date of this return

If the registered office address has changed, you should complete form AD01 and submit it together with this annual return

**A6****Single alternative inspection location (SAIL) of the company records (if applicable)<sup>③</sup>**

Building name/number Aspect House

Street Spencer Road

Post town Lancing

County/Region West Sussex

Postcode B N 9 9 6 D A

**③ SAIL address**

This must agree with the address that is held on the Companies House record at the date of this return

If the address has changed, you should complete form AD02 and submit it together with this annual return

**A7****Location of company records<sup>④</sup>**

Please tick the appropriate box to indicate which records are kept at the SAIL address in Section A6

- ☒ Register of members
- ☐ Register of directors
- ☐ Directors' service contracts
- ☐ Directors' indemnities
- ☐ Register of secretaries
- ☐ Records of resolutions etc
- ☐ Contracts relating to purchase of own shares
- ☐ Documents relating to redemption or purchase of own share out of capital by private company
- ☐ Register of debenture holders
- ☐ Report to members of outcome of investigation by public company into interests in its shares
- ☐ Register of interests in shares disclosed to public company
- ☐ Instruments creating charges and register of charges England and Wales or Northern Ireland
- ☐ Instruments creating charges and register of charges Scotland

**④ Location of company records**

If the company records are held at the registered office address, do not tick any of the boxes in this section

Certain records must be kept by every company while other records are only kept by certain company types where appropriate

If the records are not kept at the SAIL address, they must be available at the registered office

If any of the company records have moved from the registered office to the address in Section A6 since the last annual return, you must complete form AD03 and submit it together with this annual return

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**Part 2****Officers of the company**

This section should include details of the company at the date to which this annual return is made up

- For a **secretary** who is an individual, go to **Section B1**
- For a **corporate secretary**, go to **Section C1**
- For a **director** who is an individual, go to **Section D1**
- For a **corporate director**, go to **Section E1**

**Continuation pages**

Please use a continuation page if you need to enter more officer details

**Secretary****B1****Secretary's details <sup>①</sup>**

Please use this section to list all the secretaries of the company  
For a corporate secretary, complete Section C1-C4.

Title*	Mr
Full forename(s)	Richard Francis
Surname	Tapp
Former name(s) <sup>②</sup>	

**① Secretary appointments**

You may not use this form to appoint a secretary. To do this, please complete form AP03 and submit it together with this annual return.

**Corporate details**

Please use Section C1-C4 to enter corporate secretary details.

**Secretary details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH03.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**B2****Secretary's service address <sup>③</sup>**

Building name/number	Carillion House
Street	84 Salop Street
Post town	Wolverhampton
County/Region	
Postcode	W V 3 0 S R
Country	United Kingdom

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

**AR01****Annual Return**

(For returns made up to a date on or after 1 October 2011)

**Corporate secretary**

<b>C1</b>	<b>Corporate secretary's details ①</b>	<b>① Corporate secretary appointments</b> You cannot use this form to appoint a corporate secretary. To do this, please complete form AP04 and submit it together with this annual return.  <b>Corporate secretary details</b> All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH04.  This information will appear on the public record.
Please use this section to list all the corporate secretaries of the company		
Corporate body/firm name		
Building name/number		
Street		
Post town		
County/Region		
Postcode		
Country		
<b>C2</b>	<b>Location of the registry of the corporate body or firm</b>	
Is the corporate secretary registered within the European Economic Area (EEA)? → Yes Complete <b>Section C3 only</b> → No Complete <b>Section C4 only</b>		
<b>C3</b>	<b>EEA companies ②</b>	<b>② EEA</b> A full list of countries of the EEA can be found in our guidance <a href="http://www.companieshouse.gov.uk">www.companieshouse.gov.uk</a>  <b>③</b> This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)
Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register		
Where the company/firm is registered ③		
Registration number		
<b>C4</b>	<b>Non-EEA companies</b>	<b>④ Non-EEA</b> Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.
Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register		
Legal form of the corporate body or firm		
Governing law		
If applicable, where the company/firm is registered ④		
If applicable, the registration number		

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**Director****D1****Director's details <sup>①</sup>**

Please use this section to list all the directors of the company <b>For a corporate director, complete Section E1-E4</b>	
Title*	
Full forename(s)	Richard John
Surname	Adam
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	d1 d5 m1 m1 y1 y9 y5 y7
Business occupation (if any)	Finance Director

**① Director appointments**

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**

Please use Section E1-E4 to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address <sup>③</sup>**

Building name/number	Carillion House
Street	84 Salop Street
Post town	Wolverhampton
County/Region	
Postcode	W V 3 0 S R
Country	United Kingdom

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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**Director****D1****Director's details <sup>①</sup>**

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4

Title*	
Full forename(s)	Andrew James Harrower
Surname	Dougal
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	d <sup>0</sup> d <sup>2</sup> m <sup>0</sup> m <sup>9</sup> y <sup>1</sup> y <sup>9</sup> y <sup>5</sup> y <sup>1</sup>
Business occupation (if any)	Company Director

**① Director appointments**

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**

Please use Section E1-E4 to enter corporate director details.

**Director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2****Director's service address <sup>③</sup>**

Building name/number	Carillion House
Street	84 Salop Street
Post town	Wolverhampton
County/Region	
Postcode	W V 3 0 S R
Country	United Kingdom

**③ Service address**

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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## Annual Return

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### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company <b>For a corporate director, complete Section E1-E4.</b>	
Title*		
Full forename(s)	Philip Nevill	
Surname	Green	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d1</div> <div>d2</div> <div>m0</div> <div>m5</div> <div>y1</div> <div>y9</div> <div>y5</div> <div>y3</div> </div>	
Business occupation (if any)	Non-Executive Director	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>③</sup></b>	
Building name/number	Carillion House	
Street	84 Salop Street	
Post town	Wolverhampton	
County/Region		
Postcode	<div> <div>W</div> <div>V</div> <div>3</div> <div></div> <div>0</div> <div>S</div> <div>R</div> <div></div> </div>	
Country	United Kingdom	

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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## Annual Return

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### Director

<b>D1</b>	<b>Director's details ①</b>	
	Please use this section to list all the directors of the company <b>For a corporate director, complete Section E1-E4</b>	
Title*		
Full forename(s)	Alison Jane	
Surname	Horner	
Former name(s) ②		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div> <div>d</div> <div>2</div> <div>d</div> <div>8</div> <div>m</div> <div>0</div> <div>m</div> <div>6</div> <div>y</div> <div>1</div> <div>y</div> <div>9</div> <div>y</div> <div>6</div> <div>y</div> <div>6</div> </div>	
Business occupation (if any)	Group Personnel Director	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address ③</b>	
Building name/number	Carillion House	
Street	84 Salop Street	
Post town	Wolverhampton	
County/Region		
Postcode	<div> <div>W</div> <div>V</div> <div>3</div> <div></div> <div>0</div> <div>S</div> <div>R</div> <div></div> </div>	
Country	United Kingdom	

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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### Director

<b>D1</b>	<b>Director's details <sup>①</sup></b>	
	Please use this section to list all the directors of the company <b>For a corporate director, complete Section E1-E4</b>	
Title*		
Full forename(s)	Richard John	
Surname	Howson	
Former name(s) <sup>②</sup>		
Country/State of residence	United Kingdom	
Nationality	British	
Date of birth	<div>d0</div> <div>d3</div> <div>m0</div> <div>m8</div> <div>y1</div> <div>y9</div> <div>y6</div> <div>y8</div>	
Business occupation (if any)	Group Chief Executive	

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form **AP01** and submit it together with this annual return.

**Corporate details**  
Please use **Section E1-E4** to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form **CH01**.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

<b>D2</b>	<b>Director's service address <sup>①</sup></b>	
Building name/number	Carillion House	
Street	84 Salop Street	
Post town	Wolverhampton	
County/Region		
Postcode	<div>W</div> <div>V</div> <div>3</div> <div></div> <div>0</div> <div>S</div> <div>R</div> <div></div>	
Country	United Kingdom	

**① Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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## Annual Return

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### Director

**D1**

#### Director's details <sup>①</sup>

Please use this section to list all the directors of the company  
For a corporate director, complete Section E1-E4

Title*	Mr
Full forename(s)	Steven Lewis
Surname	Mogford
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	d 2 d 7 m 0 m 6 y 1 y 9 y 5 y 6
Business occupation (if any)	Director

#### ① Director appointments

You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

#### Corporate details

Please use Section E1-E4 to enter corporate director details.

#### Director details

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

#### ② Former name(s)

Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

**D2**

#### Director's service address <sup>③</sup>

Building name/number	Carillion House
Street	84 Salop Street
Post town	Wolverhampton
County/Region	
Postcode	W V 3 0 S R
Country	United Kingdom

#### ③ Service address

If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

This information will appear on the public record.

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## Annual Return

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### Director

D1 Director's details <sup>①</sup>	
Please use this section to list all the directors of the company For a corporate director, complete Section E1-E4.	
Title*	
Full forename(s)	Ceri Michele
Surname	Powell
Former name(s) <sup>②</sup>	
Country/State of residence	United Kingdom
Nationality	British
Date of birth	<div>d</div> 1 <div>d</div> 4 <div>m</div> 0 <div>m</div> 7 <div>y</div> 1 <div>y</div> 9 <div>y</div> 6 <div>y</div> 3
Business occupation (if any)	Executive Vice President Exploration

**① Director appointments**  
You cannot use this form to appoint a director. To do this, please complete form AP01 and submit it together with this annual return.

**Corporate details**  
Please use Section E1-E4 to enter corporate director details.

**Director details**  
All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH01.

**② Former name(s)**  
Please provide any previous names which have been used for business purposes during the period of this return. Married women do not need to give former names unless previously used for business purposes.

D2 Director's service address <sup>③</sup>	
Building name/number	Carillion House
Street	84 Salop Street
Post town	Wolverhampton
County/Region	
Postcode	W V 3 0 S R
Country	United Kingdom

**③ Service address**  
If you have previously notified Companies House that the service address is at 'The Company's Registered Office', please state 'The Company's Registered Office' in the address.

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**Corporate director****E1 Corporate director's details <sup>①</sup>**

Please use this section to list all the corporate director's of the company

Corporate body/firm  
name

Building name/number

Street

Post town

County/Region

Postcode

Country

**① Corporate director appointments**

You cannot use this form to appoint a corporate director. To do this, please complete form AP02 and submit it together with this annual return.

**Corporate director details**

All details must agree with those previously notified to Companies House. If you have made changes since the last annual return and have not notified us, please complete form CH02.

This information will appear on the public record.

**E2 Location of the registry of the corporate body or firm**

Is the corporate director registered within the European Economic Area (EEA)?

→ Yes Complete **Section E3 only**→ No Complete **Section E4 only****E3 EEA companies <sup>②</sup>**

Please give details of the register where the company file is kept (including the relevant state) and the registration number in that register

Where the company/  
firm is registered <sup>③</sup>

Registration number

**② EEA**

A full list of countries of the EEA can be found in our guidance [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

③ This is the register mentioned in Article 3 of the First Company Law Directive (68/151/EEC)

**E4 Non-EEA companies**

Please give details of the legal form of the corporate body or firm and the law by which it is governed. If applicable, please also give details of the register in which it is entered (including the state) and its registration number in that register

Legal form of the  
corporate body  
or firm

Governing law

If applicable, where  
the company/firm is  
registered <sup>④</sup>If applicable, the  
registration number**④ Non-EEA**

Where you have provided details of the register (including state) where the company or firm is registered, you must also provide its number in that register.

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**Part 3****Statement of capital <sup>①</sup>**

Does your company have share capital?

→ Yes Complete the sections below and the following Part 4

→ No Go to Part 5 (Signature)

<sup>①</sup> This should reflect the company's capital status at the made up date of this annual return**F1****Share capital in pound sterling (£)**

Please complete the table below to show each class of shares held in pound sterling.

If all your issued capital is in sterling, only complete Section F1 and then go to Section F4

Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>②</sup>	Number of shares <sup>③</sup>	Aggregate nominal value <sup>④</sup>
Ord 50p Each	0 50	0 00	430,254,629	£ 215,127,314 50
				£
				£
				£
<b>Totals</b>			430,254,629	£ 215,127,314 50

**F2****Share capital in other currencies**

Please complete the table below to show any class of shares held in other currencies

Please complete a separate table for each currency

Currency	Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>②</sup>	Number of shares <sup>③</sup>	Aggregate nominal value <sup>④</sup>
<b>Totals</b>					

Currency	Class of shares (E.g. Ordinary/Preference etc.)	Amount paid up on each share <sup>②</sup>	Amount (if any) unpaid on each share <sup>②</sup>	Number of shares <sup>③</sup>	Aggregate nominal value <sup>④</sup>
<b>Totals</b>					

**F3****Totals**

Please give the total number of shares and total aggregate nominal value of issued share capital

Total number of shares

Total aggregate  
nominal value <sup>⑤</sup><sup>⑤</sup> Total aggregate nominal value  
Please list total aggregate values in  
different currencies separately. For  
example £100 + €100 + \$10 etc<sup>②</sup> Including both the nominal value and any  
share premium<sup>③</sup> Total number of issued shares in this class<sup>③</sup> Number of shares issued multiplied by  
nominal value of each share**Continuation Pages**Please use a Statement of Capital continuation  
page if necessary

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(For returns made up to a date on or **after 1 October 2011**)

**F4**

**Statement of capital (Voting rights)**

Please give the prescribed particulars of rights attached to shares for each class of share shown in the statement of capital share tables in **Sections F1 and F2**

Class of share

£0 50 Ord 50p Each

Voting rights

See attached schedule

Class of share

Voting rights

Class of share

Voting rights

Class of share

Voting rights

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F4

### Statement of capital (Voting rights)

Class of share	£0.50 Ord 50P Each
Voting rights	<p>Subject to any rights attached to existing shares, any share may be issued with or have attached to it such rights and restrictions as the Company may, by ordinary resolution, decide. There are no voting restrictions on the ordinary shares. Shareholders are entitled to one vote per share. The Company may, by ordinary resolution, declare dividends in accordance with the respective rights of the shareholders, but no dividend shall exceed the amount recommended by the Board. On a winding-up of the Company, all holders of ordinary shares have a right to participate in the distribution of the assets of the Company available for distribution and shall rank pari passu amongst themselves. Subject to any rights attached to existing shares, the Company may issue redeemable shares which are redeemable either at the option of the Company or at the option of the shareholder. The directors can decide on the terms and conditions and the manner of redemption of any redeemable shares issued.</p>

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**Part 4****Shareholders**

Does your company have share capital?

→ Yes go to Section G1 'Companies with share capital'

→ No Go to Part 5 (Signature)

**G1****Companies with share capital**

Question 1

Were any of the company's shares admitted to trading on a market at any time during this return period? Please tick the appropriate box below ①

☐ No go to Section G2 'Past and present shareholders'☒ Yes go to Question 2.

Question 2

Please only refer to Question 2 below if you have answered 'Yes' to Question 1. If you answered 'No', please go to Section G2 'Past and present shareholders'

Did the company, throughout the return period, have any shares admitted to trading on a relevant market and was it, throughout the return period, an issuer to which DTR5 applies? (3) Please tick the appropriate box below ②

☐ No go to Section G4 'Shareholders who hold at least 5% of any class of shares of the company as at the made up date of the return'☒ Yes go to Part 5 'Signature'

① A market is one established under the rules of a UK recognised investment exchange or any other regulated markets in or outside of the UK, or any other market outside of the UK. The current UK recognized investment exchanges and regulated markets can be found at [www.fsa.gov.uk/register/exchanges.do](http://www.fsa.gov.uk/register/exchanges.do)

② DTR5 refers to the Vote Holder and Issuer Notification Rules contained in Chapter 5 of the Disclosure and Transparency Rules source book issued by the Financial Services Authority. Notification is required when the percentage acquisition of a shareholder in the company has reached a certain threshold (starting at 3%).

**G2****List of past and present shareholders ③**

The company is required to provide a full list of past and present shareholders if one was not included with either of the last two returns. Please tick the appropriate box below

☐ There were no shareholder changes in this period. Go to Part 5 (Signature)☐ A full list of shareholders is enclosed☐ A list of shareholder changes is enclosed

How is the list of shareholders enclosed? Please tick the appropriate box below

☐ The list of shareholders is enclosed on paper. Go to Section G3 'List of past and present shareholders'☐ The list of shareholders is enclosed in another format. Go to Part 5 (Signature)

③ This section only applies to companies answering 'No' in Section G1



# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

G3

### List of past and present shareholders<sup>1</sup>

Changes during this period to shareholders' particulars or details of the amount of stock or shares transferred must be completed each year

You must provide a 'full list' of all company shareholders on

- The company's first annual return following incorporation,
- Every third annual return after a full list has been provided

<sup>1</sup> Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

#### Further shareholders

Please use a 'List of past and present shareholders' continuation page if necessary

This section only applies to companies answering 'No' to Question 1 in Section G1

Shareholder's Name (Address not required)	Class of share	Shares or stock currently held	Shares or stock transferred (if appropriate)	
		Number of shares or amount of stock	Number of shares or amount of stock	Date of registration of transfer
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /
				/ /

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)

**G4**

### Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return

This section should show only the shareholders that hold at least 5% of any class of share(s) of the company at the date of this return

It should only be completed by companies that have answered 'Yes' to Question 1 in Section G1, and 'No' to Question 2 in Section G1

If there were no shareholders holding at least 5% of any class of share(s) at the date of this return, this section may be left blank

→ Go to Part 5 (Signature)

This section only applies to companies answering 'No' to Question 2 in Section G1

Please list the company shareholders in alphabetical order

Joint shareholders should be listed consecutively

#### Further shareholders

Please use a 'Shareholders who hold at least 5% of any class of share(s) of the company as at the made up date of this return' continuation page if necessary

		Shares or stock currently held	
Shareholder's name	Shareholder's address	Class of share	Number of shares or amount of stock

AR01

Annual Return

(For returns made up to a date on or after 1 October 2011)

**Part 5**

**Signature**

	<p>This must be completed by all companies.</p>	
	<p>I am signing this form on behalf of the company</p>	
Signature	<p>Signature</p> <p>X</p> <p><i>min 1071.</i></p> <p>X</p>	<p><b>❶ Societas Europaea</b> If the form is being filed on behalf of a Societas Europaea (SE) please delete 'director' and insert details of which organ of the SE the person signing has membership</p> <p><b>❷ Person authorised</b> Under either section 270 or 274 of the Companies Act 2006</p>
	<p>This form may be signed by Director <sup>❶</sup>, Secretary, Person authorised <sup>❷</sup>, Charity commission receiver and manager, CIC manager, Judicial factor</p>	

# AR01

## Annual Return

(For returns made up to a date on or after 1 October 2011)



### Presenter information

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

Ann Williams

Address

Carillion plc,

Carillion House, 84 Salop

Street

Post town

Wolverhampton

County/Region

Postcode

W

V

3

0

S

R

Country

United Kingdom

DX

Telephone



### Checklist

We may return forms completed incorrectly or with information missing

Please make sure you have remembered the following

- ☐ That if the made up date of the return is any earlier than 1 October 2011, you must complete the appropriate form AR01
- ☐ The company name and number match the information held on the public Register
- ☐ You have completed your principal business activity
- ☐ You have not used this form to make changes to the registered office address
- ☐ You have not used this form to make changes to secretary and director details
- ☐ You have fully completed the Statement of capital (if applicable)
- ☐ You have signed the form
- ☐ You have enclosed the correct fee



### Important information

Please note that all information on this form will appear on the public record.



### How to pay

A fee of £40 is payable to Companies House in respect of an Annual Return

Make cheques or postal orders payable to 'Companies House'



### Where to send

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

**For companies registered in England and Wales:**

The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ  
DX 33050 Cardiff

**For companies registered in Scotland:**

The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF  
DX ED235 Edinburgh 1  
or LP - 4 Edinburgh 2 (Legal Post)

**For companies registered in Northern Ireland**

The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG  
DX 481 N R Belfast 1



### Further information

For further information, please see the guidance notes on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.companieshouse.gov.uk](http://www.companieshouse.gov.uk)

# Carillion plc

## Group Structure

Company	Country
Carillion plc	
Carillion (AM) Limited	United Kingdom
Alcinous Insurance Company Limited	Isle of Man
Alfred McAlpine Asset Management Services Limited	United Kingdom
Alfred McAlpine Construction Isle of Man Limited	Isle of Man
Alfred McAlpine International Limited	United Kingdom
Alfred McAlpine Pension Trustees Limited	United Kingdom
AM Nominees Limited	United Kingdom
Walter Lawrence Developments Limited	United Kingdom
Walter Lawrence Homes Chilterns Limited	United Kingdom
Carillion (AMBS) Holdings Limited	United Kingdom
AMBS 1 Limited	United Kingdom
Carillion (AMBS) Limited	United Kingdom
Alfred McAlpine Irish Pension Trustees Limited	Ireland
Carillion Asset Management Limited	United Kingdom
Stiell Inframan Limited	United Kingdom

# Carillion plc

## Group Structure

Company	Country
Carillion (AMBS) Limited	United Kingdom
Carillion AM Developments Limited	United Kingdom
Caxton House (Carbourne) Management Company Limited	United Kingdom
Carillion AM Government Limited	United Kingdom
Carillion Capital Projects Limited	United Kingdom
Alfred McAlpine Construction Isle of Man Limited	Isle of Man
Alfred McAlpine Pension Trustees Limited	United Kingdom
Marchwiel Investments Limited	United Kingdom
New World (NEC) Limited	United Kingdom
New World Cambridge Limited	United Kingdom
New World Crewe Limited	United Kingdom
Carillion Project Investments Limited	United Kingdom
Carillion Project Services Holdings Limited	United Kingdom
Carillion Solar 1 Limited	United Kingdom
Carillion Support Services & Investments Limited	United Kingdom
Carillion Utility Services Group Limited	United Kingdom

# Carillion plc

## Group Structure

Company	Country
Carillion Utility Services Limited	United Kingdom
Carillion Utility Services S E Limited	United Kingdom
Hall & Tawse Northern Limited	United Kingdom
J F Miller Properties Limited	United Kingdom
Marchwiel Investments Limited	United Kingdom
Marchwiel Properties Limited	United Kingdom
McAlpine Infrastructure Services Limited	United Kingdom
Raine Limited	United Kingdom
Road Management Services (A13) Holdings Limited	United Kingdom
Road Management Services(A13) plc	United Kingdom
Stiell Facilities Limited	United Kingdom
Walter Lawrence Housing Investments Limited	United Kingdom
Winner Developments Limited	United Kingdom
Carillion Canada Finance Corp	Canada
CARILLION CONSTRUCTION LIMITED	United Kingdom
CARILLION (DENMARK) LIMITED	United Kingdom

# Carillion plc

## Group Structure

Company	Country
CARILLION CONSTRUCTION (CONTRACTS) LIMITED	United Kingdom
CARILLION (CARIBBEAN) LIMITED	Trinidad and Tobago
CARILLION CONSTRUCTION (NOMINEES) LIMITED	United Kingdom
CARILLION (JERSEY) LTD	Jersey
CARILLION FRANCE S A	France
CARILLION CONSTRUCTION (WEST INDIES) LIMITED	United Kingdom
CARILLION CONSTRUCTION OVERSEAS LIMITED	United Kingdom
AL Futaim Carillion (LLC)	United Arab Emirates
CARILLION (SINGAPORE) LIMITED	United Kingdom
Carillion Alawi LLC	Oman
CARILLION CONSTRUCTION (CONTRACTS) LIMITED	United Kingdom
W A INVESTMENTS LIMITED	United Kingdom
CARILLION (CARIBBEAN) LIMITED	Trinidad and Tobago
Servus Limited	Trinidad and Tobago
CARILLION FRANCE S A	France
CARILLION GB LIMITED	United Kingdom



# Carillion plc

## Group Structure

Company	Country
Carillion Canada Holdings Inc	Canada
Carillion Canada Inc	Canada
002242343 Ontario Inc	Canada
1449314 Ontario Inc	Canada
1453351 Ontario Inc	Canada
2056087 Ontario Inc	Canada
2054599 Ontario Inc	Canada
2057243 Ontario Inc	Canada
2093729 Ontario Inc	Canada
ACT Service Centres Inc	Canada
C (Adams Park) General Partner Inc	Canada
C (Angus) General Partner Inc	Canada
C (Aurora) General Partner Inc	Canada
C (King) Holdings Inc	Canada
CR General Partner Inc	Canada
C (Port Dover) General Partner Inc	Canada

# Carillion plc

## Group Structure

Company	Country
Carillion Build Finance Inc	Canada
Vanned Construction Corporation	Canada
Carillion ElisDon Services (Niagara) Inc	Canada
Carillion ElisDon Services (NOH) Inc	Canada
Carillion ElisDon Services (North Bay) Inc / Services	Canada
Carillion ElisDon (North Bay) Inc	
Carillion ElisDon Services (Sault) Inc/Services Carillion	Canada
ElisDon (Sault) Inc	
Carillion General Partner (B C ) Limited	Canada
CCI (B C ) Limited Partnership	Canada
Carillion Investments (Canada) Inc	Canada
Carillion Services (ACT) Inc	Canada
Carillion Services (CAMH) Inc	Canada
Carillion Services (Defence Solutions) Inc	Canada
Carillion Services (FSCC) Inc	Canada
Carillion Services (ROH) Inc	Canada
Carillion Services (Sault) Inc	Canada

# Carillion plc

## Group Structure

Company	Country
Carillion Services (WOHC) Inc	Canada
Carillion Vanbots Services (Woodstock) Inc	Canada
CCI (B C ) Limited Partnership	Canada
Hospital Infrastructure Partners (North Bay) GP Inc	Canada
Hospital Infrastructure Partners Inc / Partenaires	Canada
D'Infrastructures Hospitalieres Inc	
Maplewood Properties Inc (Riverfield)	Canada
The Healthcare Infrastructure Company of Canada	Canada
(WOHC) Inc	
The Healthcare Infrastructure Company of Canada Inc	Canada
TWD Roads Management Inc	Canada
Carillion Construction Inc	Canada
Carillion Canada Inc	Canada
Vanbots Capital Corporation	Canada
CARILLION CANADA INVESTMENTS INC	Unknown
CARILLION FRANCE S A	France
Carillion USA Inc	United States

# Carillion plc

## Group Structure

Company	Country
TCOON BAUTEN GmbH	Germany
TRINIDAD & TOBAGO WATER SERVICES LTD	Trinidad and Tobago
CARILLION HI-TECH LIMITED	United Kingdom
Carillion Insurance Company Limited	Guernsey
Carillion Powerlines Limited	United Kingdom
Carillion Property Services Limited	United Kingdom
Carillion Wyse Holdings Limited	United Kingdom
Wyseproperty	United Kingdom
Carillion-Igloo Limited	United Kingdom
Carillion-Igloo Nominees Limited	United Kingdom
CENTRAC LIMITED	United Kingdom
CHURCHWARD PLC	United Kingdom
Defence Equipment Services Limited	United Kingdom
EVERPRIME LIMITED	United Kingdom
Infrasig Limited	United Kingdom
Inspiredspaces Limited	United Kingdom

# Carillion plc

## Group Structure

Company	Country
JOHN MOWLEM (GUERNSEY) LIMITED	Guernsey
P J WALLS (CIVIL) LIMITED	Ireland
R T (BRIDGEND) LIMITED	United Kingdom
SOVEREIGN HARBOUR LIMITED	United Kingdom
EASTBOURNE HARBOUR COMPANY LIMITED	United Kingdom
SOVEREIGN HARBOUR WATERFRONT HOLDINGS LIMITED	United Kingdom
Sovereign Harbour Waterfront Limited	United Kingdom
STEPHENSON MAINTENANCE LIMITED	United Kingdom
TBV POWER LIMITED	United Kingdom
TBV POWER (MALAYSIA) Sdn Bhd	Malaysia
TBV POWER HUNGARY LTD	Hungary
TPS CONSULT LIMITED	United Kingdom
Carillion Energy Services Limited	United Kingdom
1St Insulation Partners Limited	United Kingdom
A F R Limited	United Kingdom

# Carillion plc

## Group Structure

Company	Country
Carillion Contract Services East Limited	United Kingdom
Carillion Contract Services Limited	United Kingdom
Carillion Energy Services Scotland Limited	United Kingdom
Carillion Heating Services Limited	United Kingdom
Carillion Home Services Limited	United Kingdom
Carillion-Breathe Limited	United Kingdom
Eaga Energy Solutions Limited	United Kingdom
Eaga NI Limited	(Northern Ireland)
Heat Energy and Associated Technology Limited	(Northern Ireland)
Eaga PCC Limited	Guernsey
Eaga Services Limited	United Kingdom
N E S T Makers Limited	United Kingdom
R G Francis Limited	United Kingdom
Carillion Finance (Jersey) Limited	Jersey
CARILLION FLEET MANAGEMENT LIMITED	United Kingdom
CARILLION FRANCE S A	France

# Carillion plc

## Group Structure

Company	Country
CARILLION HOLDINGS LIMITED	United Kingdom
CARILLION CR LIMITED	United Kingdom
Carillion Richardson Anchorwood Limited	United Kingdom
Carillion Richardson Cwmbran Limited	United Kingdom
Carillion Richardson Worcester Limited	United Kingdom
Lawgra (No 975) Limited	United Kingdom
CARILLION RICHARDSON DEVELOPMENTS LTD	United Kingdom
Carillion Etel JV Limited	United Kingdom
CARILLION QUEST TRUSTEE LIMITED	United Kingdom
CarillionArmev (Housing Prime) Limited	United Kingdom
CarillionArmev Limited	United Kingdom
WPL Estates Limited	Guernsey
Carillion Insurance Advisers Limited	United Kingdom
Carillion Investments B V	Netherlands
CARILLION JM LIMITED	United Kingdom
ACM HEALTH SOLUTIONS LIMITED	United Kingdom

# Carillion plc

## Group Structure

Company	Country
ASPIRE DEFENCE SERVICES LIMITED	United Kingdom
BARCLAY MOWLEM (ASIA) LIMITED	United Kingdom
MOWLEM HOLDINGS BV	Netherlands
MOWLEM AQUUMEN BV	Netherlands
Arcadis Aquumen Facility Management	Belgium
ARCADIS AQUUMEN FACILITY MANAGEMENT BV	Netherlands
MOWLEM RAILWAYS NEDERLAND BV	Netherlands
CARILLION (ASPIRE CONSTRUCTION) HOLDINGS NO 2 LIMITED	United Kingdom
CARILLION (ASPIRE CONSTRUCTION) HOLDINGS LIMITED	United Kingdom
CARILLION (ASPIRE CONSTRUCTION) LIMITED	United Kingdom
CARILLION (ASPIRE SERVICES) HOLDINGS NO 2 LIMITED	United Kingdom
CARILLION (ASPIRE SERVICES) HOLDINGS LIMITED	United Kingdom
CARILLION (ASPIRE SERVICES) LIMITED	United Kingdom
Carillion (Maple Oak) Limited	United Kingdom
Arlington Real Estate (Durham City) Limited	United Kingdom



# Carillion plc

## Group Structure

Company	Country
Lodge Park Commercial Developments Limited	United Kingdom
CARILLION AQUUMEN MANAGEMENT SERVICES LIMITED	United Kingdom
Carillion ASP Limited	United Kingdom
Carillion Irishenco Limited	Ireland
CARILLION MANAGED SERVICES LIMITED	United Kingdom
SOVEREIGN HOSPITAL SERVICES LIMITED	United Kingdom
Carillion MENA Limited	United Kingdom
CARILLION SERVICES 2006 LIMITED	United Kingdom
CARILLION TECHNICAL SERVICES (INSTALLATION) LIMITED	United Kingdom
DUDLEY BOWER GROUP PLC	United Kingdom
PME PARTNERSHIPS LIMITED	United Kingdom
PME TECHNICAL SERVICES LIMITED	United Kingdom
ERNEST IRELAND CONSTRUCTION LIMITED	United Kingdom
GLASGOW STOCKHOLDERS TRUST PUBLIC LIMITED COMPANY	United Kingdom
JOHN MOWLEM (GUERNSEY) LIMITED	Guernsey

# Carillion plc

## Group Structure

Company	Country
JOHN MOWLEM CONSTRUCTION plc	United Kingdom
JOHNSTON CONSTRUCTION LIMITED	United Kingdom
MOWLEM ENVIRONMENTAL SCIENCES GROUP LIMITED	United Kingdom
MOWLEM SCOTLAND LIMITED	United Kingdom
SOVEREIGN CONSULTANCY SERVICES LIMITED	United Kingdom
THE CARILLION CONSTRUCTION COMPANY (EAST AFRICA) LIMITED	United Kingdom
Carillion LGS Limited	United Kingdom
CARILLION NOMINEES LIMITED	United Kingdom
CARILLION CONSTRUCTION (NOMINEES) LIMITED	United Kingdom
CARILLION FRANCE S A	France
CARILLION PRIVATE FINANCE LIMITED	United Kingdom
AVERY HILL DEVELOPMENTS HOLDINGS LIMITED	United Kingdom
AVERY HILL DEVELOPMENTS LIMITED	United Kingdom
Carillion (CAMH) Holdings Inc	Canada
Carillion Fengate (CAMH) Holdings Inc	Canada
CHS (CAMH) Inc	Canada

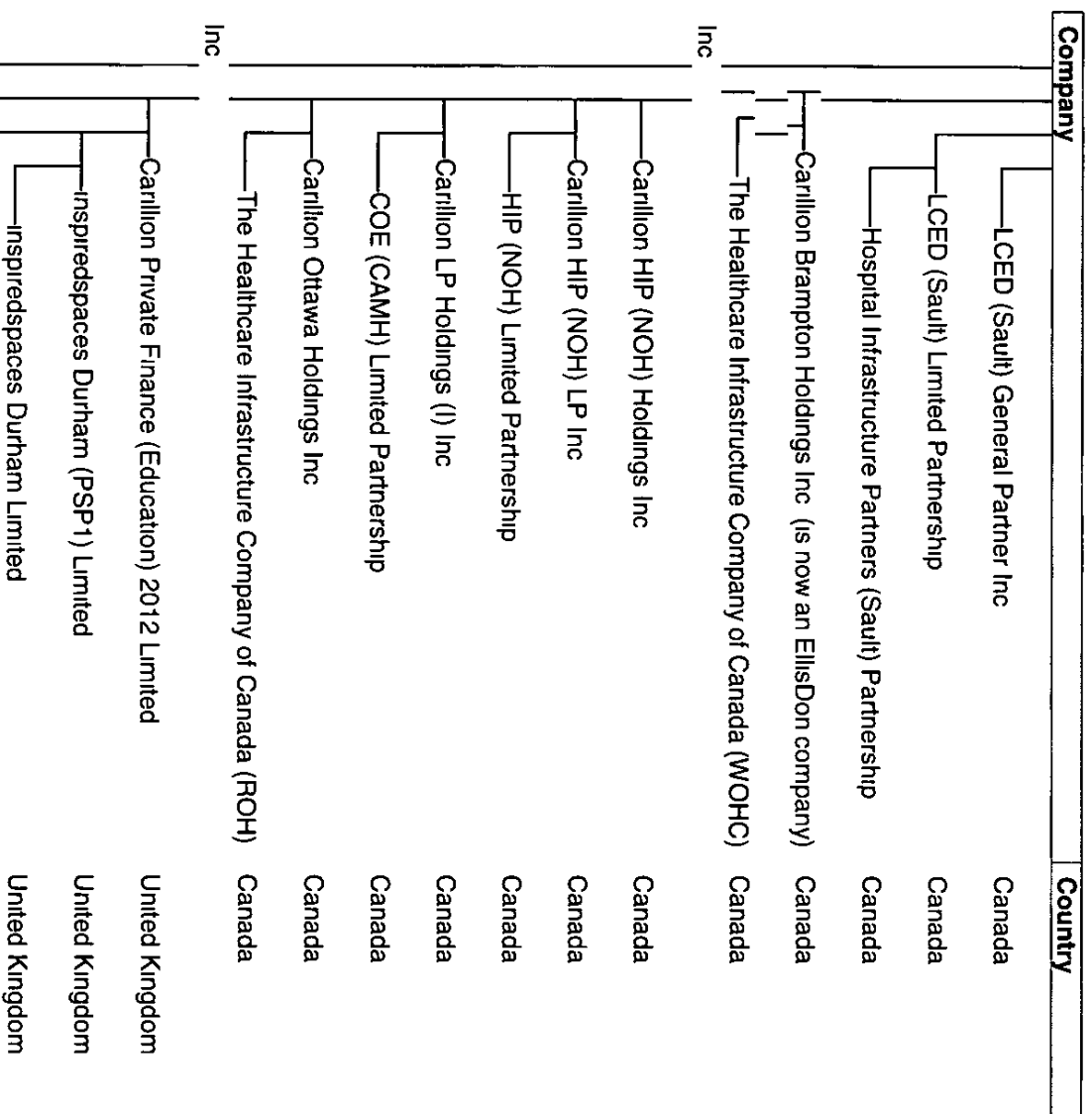
# Carillion plc

## Group Structure

Company	Country
CHS (CAMH) Partnership	Canada
COE (CAMH) General Partner Inc	Canada
CHS (CAMH) Partnership	Canada
COE (CAMH) Limited Partnership	Canada
Carillion (FSCC) Holdings Inc	Canada
Carillion Concert (FSCC) Holdings Inc	Canada
CCII (FSCC) General Partner Inc	Canada
CSS (FSCC) Inc.	Canada
CSS (FSCC) Partnership	Canada
Carillion (FSCC) LP Holdings Inc	Canada
CCII (FSCC) Limited Partnership	Canada
CSS (FSCC) Partnership	Canada
Carillion (Sault) Holdings Inc	Canada
Fengate EllisDon Carillion (Sault) Holdings Inc	Canada
HIP (Sault) Inc	Canada
Hospital Infrastructure Partners (Sault) Partnership	Canada

# Carillion plc

## Group Structure



# Carillion plc

## Group Structure

Company	Country
inspiredspaces Nottingham (PSP1) Limited	United Kingdom
inspiredspaces Nottingham Limited	United Kingdom
inspiredspaces Nottingham (Holdings2) Limited	United Kingdom
inspiredspaces Nottingham (ProjectCo2) Limited	United Kingdom
inspiredspaces Nottingham (PSP3) Limited	United Kingdom
inspiredspaces Rochdale (PSP1) Limited	United Kingdom
inspiredspaces Rochdale Limited	United Kingdom
inspiredspaces Rochdale (Holdings1) Limited	United Kingdom
inspiredspaces Rochdale (ProjectCo1) Limited	United Kingdom
inspiredspaces Rochdale (Holdings2) Limited	United Kingdom
inspiredspaces Rochdale (ProjectCo2) Limited	United Kingdom
inspiredspaces Rochdale (PSP2) Limited	United Kingdom
inspiredspaces Rochdale (PSP3) Limited	United Kingdom
inspiredspaces Rochdale (Holdings2) Limited	United Kingdom
inspiredspaces STaG (PSP1) Limited	United Kingdom
inspiredspaces STaG Limited	United Kingdom

# Carillion plc

## Group Structure

Company	Country
inspiredspaces Tameside (PSP1) Limited	United Kingdom
inspiredspaces Tameside Limited	United Kingdom
inspiredspaces Wolverhampton (PSP1) Limited	United Kingdom
inspiredspaces Wolverhampton Limited	United Kingdom
inspiredspaces Wolverhampton (Holdings2) Limited	United Kingdom
inspiredspaces Wolverhampton (ProjectCo2) Limited	United Kingdom
inspiredspaces Wolverhampton (PSP3) Limited	United Kingdom
inspiredspaces Wolverhampton (Holdings2) Limited	United Kingdom
Carillion Private Finance (Health) 2013 Limited	United Kingdom
The Hospital Company (Liverpool) Holdings Limited	United Kingdom
The Hospital Company (Liverpool) Limited	United Kingdom
Carillion Private Finance (Health) Limited	United Kingdom
The Hospital Company (Southmead) Holdings Limited	United Kingdom
The Hospital Company (Southmead) Limited	United Kingdom
Carillion Private Finance (Secure) Limited	United Kingdom
Carillion Private Finance (Transport) Limited	United Kingdom

# Carillion plc

## Group Structure

Company	Country
UK HIGHWAYS A55 (HOLDINGS) LIMITED	United Kingdom
UK HIGHWAYS A55 LIMITED	United Kingdom
Clinicenta (Hertfordshire) Limited	United Kingdom
G4S Carillion Limited	United Kingdom
UK HIGHWAYS LIMITED	United Kingdom
UK HIGHWAYS MANAGEMENT SERVICES LIMITED	United Kingdom
CARILLION PROFESSIONAL SERVICES LIMITED	United Kingdom
CARILLION REGENERATION LIMITED	United Kingdom
Oaklands Office Park (Management) Limited	United Kingdom
The Management Company (Castlegate Phase 2) Limited	United Kingdom
The Management Company (Castlegate) Limited	United Kingdom
CARILLION REGIONAL CONSTRUCTION LIMITED	United Kingdom
CARILLION SERVICES LIMITED	United Kingdom
Carillion Integrated Services Limited	United Kingdom
CARILLION SPECIALIST SERVICES LIMITED	United Kingdom
CARILLION SWINDON LIMITED	United Kingdom

# Carillion plc

## Group Structure

Company	Country
Clinicenta Limited	United Kingdom
G T RAILWAY MAINTENANCE HOLDINGS LIMITED	United Kingdom
G T RAILWAY MAINTENANCE LIMITED	United Kingdom
Planned Maintenance Engineering Limited	United Kingdom
Building Environmental Hygiene Limited	United Kingdom
POSTWORTH LIMITED	United Kingdom
SCHAL INTERNATIONAL MANAGEMENT LIMITED	United Kingdom
WAKEREMOTE LIMITED	United Kingdom
DEUCO HOLDING BV	Netherlands