



**DON'T  
STAPLE**

# AA06

## Statement of guarantee by a parent undertaking of a subsidiary company

☒ **What this form is for**  
You may use this form as a statement of guarantee for a subsidiary company.

☐ **What this form is NOT for**  
You cannot use this form as a statement of guarantee for a subsidiary which is an LLP form LLAA06.

TUESDAY



\*ACIPJ1I3\*  
A22 19/12/2023 #156  
COMPANIES HOUSE

### 1 Subsidiary company details

Please enter the registered name and number of the company delivering this statement.

Company number 0 1 9 0 0 9 0 7

Company name in full LONDON UNDERGROUND LIMITED

→ **Filling in this form**  
Please complete in typescript or in bold black capitals.

All fields are mandatory unless specified or indicated by \*

### 2 Relevant financial year

Please show the financial year end date to which the guarantee relates.

Date of financial year ending 31 03 20 23

### 3 Guarantee

Please show details of the guarantee.

Section 479C - audit exemption for a subsidiary company. Name of parent undertaking: Transport Trading Limited, incorporated in the UK, England and Wales, with registration number 03914810. See attached.

- You must include:**
- Details of the section of the Companies Act 2006 under which the guarantee is being given:
    - a. Section 394C—exemption from preparing accounts for a dormant subsidiary.
    - b. Section 448C—exemption from filing accounts for a dormant subsidiary.
    - c. Section 479C—audit exemption for a subsidiary company.

The name and registered number (if any) of the UK-incorporated parent undertaking;



or (for financial years which end before the date on which the UK left the EU only):

If the parent was incorporated and registered (in the same country) elsewhere in the EEA, its name, registration number and the identity of the register where it is registered.

Schedule  
If necessary, please attach a schedule to this form.

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<b>4</b>	<b>Statement date</b>	
	Please insert the date the statement was made.	
Date	<div> <div>d 1 d 3</div> <div>m 1 m 2</div> <div>y 2 y 0 y 2 y 3</div> </div>	
<b>5</b>	<b>Signature on behalf of the parent undertaking<sup>①</sup></b>	
	I am signing this form on behalf of the parent undertaking.	
Signature	<div>Signature</div> <div>X </div> <div>X</div>	<div>① This section must be signed on behalf of the parent undertaking.</div>
<b>6</b>	<b>Signature of subsidiary<sup>②</sup></b>	
	I am signing this form on behalf of the subsidiary company.	
Signature	<div>Signature</div> <div>X </div> <div>X</div>	<div>② This form must be signed by a director of the subsidiary company</div>

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**Presenter information**

You do not have to give any contact information, but if you do it will help Companies House if there is a query on the form. The contact information you give will be visible to searchers of the public record.

Contact name

Company name

CSS, Law Deb

Address

8th Floor, 100 Bishopsgate

Post town

London

County/Region

Postcode

E C 2 N 4 A G

Country

United Kingdom

DX

Telephone

**Checklist**

We may return forms completed incorrectly or with information missing.

Please make sure you have remembered the following:

- ☐ The company name and number match the information held on the public Register.
- ☐ You have entered the date of the financial year in Section 2.
- ☐ You have completed Section 3.
- ☐ You have entered the date of the statement in Section 4.
- ☐ A representative of the parent has signed their name in Section 5.
- ☐ A director of the subsidiary has signed the form.
- ☐ To benefit from one of these exemptions, the subsidiary must also submit the following documents to the registrar of companies on or before the date on which its accounts are due:
  - a written notice that all members of the subsidiary agree to the exemption in respect of the relevant financial year; and
  - a copy of the parent undertaking's consolidated accounts, including a copy of the auditor's report and the annual report on those accounts.

**Important information**

Please note that all information on this form will appear on the public record.

**Where to send**

You may return this form to any Companies House address, however for expediency we advise you to return it to the appropriate address below:

**For companies registered in England and Wales:**  
The Registrar of Companies, Companies House,  
Crown Way, Cardiff, Wales, CF14 3UZ.  
DX 33050 Cardiff.

**For companies registered in Scotland:**  
The Registrar of Companies, Companies House,  
Fourth floor, Edinburgh Quay 2,  
139 Fountainbridge, Edinburgh, Scotland, EH3 9FF.  
DX ED235 Edinburgh 1

**For companies registered in Northern Ireland:**  
The Registrar of Companies, Companies House,  
Second Floor, The Linenhall, 32-38 Linenhall Street,  
Belfast, Northern Ireland, BT2 8BG.  
DX 481 N.R. Belfast 1.

**Further information**

For further information, please see the guidance notes on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse) or email [enquiries@companieshouse.gov.uk](mailto:enquiries@companieshouse.gov.uk)

This form is available in an alternative format. Please visit the forms page on the website at [www.gov.uk/companieshouse](http://www.gov.uk/companieshouse)



Transport Trading Limited  
5 Endeavour Square  
Stratford  
London  
E20 1JN

[www.tfl.gov.uk](http://www.tfl.gov.uk)

## **Form of Guarantee**

This Guarantee is given under Section 479C of the Companies Act 2006 by Transport Trading Limited, a company registered in the United Kingdom with number 03914810 and whose registered office address at the time of filing this form is 5 Endeavour Square, London E20 1JN ("the Guarantor").

Without prejudice to the effect of the guarantee as stated in section 479C of the Companies Act 2006, the following conditions shall apply to such guarantee:

1. any demand or other notice made by a claimant shall be sent by Special Delivery Guaranteed post to the registered office of the Guarantor, addressed for the attention of General Counsel;
2. the Guarantor shall be under no greater liability under this guarantee than it would have been if it had been the subsidiary company party to the original contract in respect of which a claim is made;
3. to the extent that any claim is made under the guarantee and is satisfied, neither:
  - a. the subsidiary company listed in this form; nor
  - b. any associated body corporate (as defined in section 256 of the Companies Act 2006) of that subsidiary company that has also provided a guarantee of the relevant liabilities of the subsidiary company, shall be liable to the claimant;
4. any claim made must set out clearly the subsidiary company to which it relates and the basis on which any liability has been incurred by that company;
5. the guarantee is governed by and shall be construed in accordance with the law of England and the courts of England shall have exclusive jurisdiction to settle any dispute or claim which may arise out of or in connection with this guarantee;
6. the guarantee relates only to the financial obligations of the subsidiary company listed in this form and not to the discharge of any other obligation of that subsidiary company; and
7. the guarantee hereby given is available only to a party to whom the subsidiary company listed in this form has an outstanding liability at the end of the relevant financial year and the benefit of such guarantee may not be assigned or charged and no other party shall have any right to enforce its terms.

Transport Trading Limited  
whose registered office is  
5 Endeavour Square  
London E20 1JN

Registered in England and Wales  
Company number 3914810

VAT number 756 2770 08

Transport Trading Limited is a company controlled by a local authority within the meaning of Part V Local Government and Housing Act 1969. The controlling authority is Transport for London.